

# Elementary Graph Algorithms

# Graphs

- *Graph*  $G = (V, E)$ 
  - $V$  = set of vertices
  - $E$  = set of edges  $\subseteq (V \times V)$
- Types of graphs
  - **Undirected**: edge  $(u, v) = (v, u)$ ; for all  $v$ ,  $(v, v) \notin E$  (**No self loops.**)
  - **Directed**:  $(u, v)$  is edge from  $u$  to  $v$ , denoted as  $u \rightarrow v$ . Self loops are allowed.
  - **Weighted**: each edge has an associated **weight**, given by a weight function  $w : E \rightarrow \mathbf{R}$ .
  - **Dense**:  $|E| \approx |V|^2$ .
  - **Sparse**:  $|E| \ll |V|^2$ .
- $|E| = O(|V|^2)$

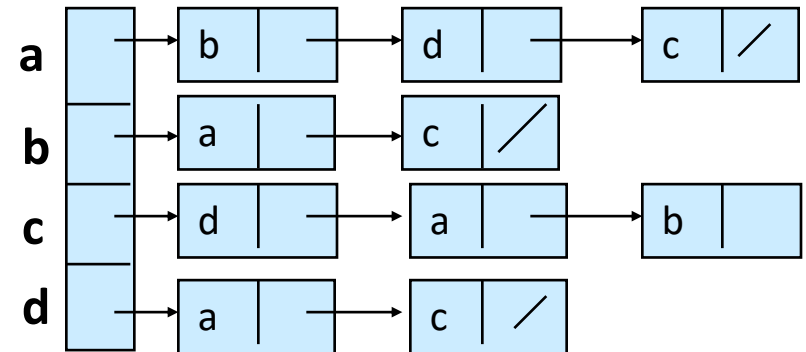
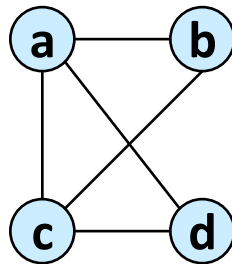
# Graphs

- If  $(u, v) \in E$ , then vertex  $v$  is **adjacent** to vertex  $u$ .
- **Adjacency relationship is:**
  - Symmetric if  $G$  is undirected.
  - Not necessarily so if  $G$  is directed.
- If  $G$  is **connected**:
  - There is a **path between every pair of vertices**.
  - $|E| \geq |V| - 1$ .
  - Furthermore, if  $|E| = |V| - 1$ , then  $G$  is a tree.

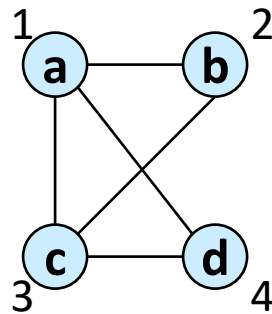
# Representation of Graphs

- Two standard ways.

- Adjacency Lists.



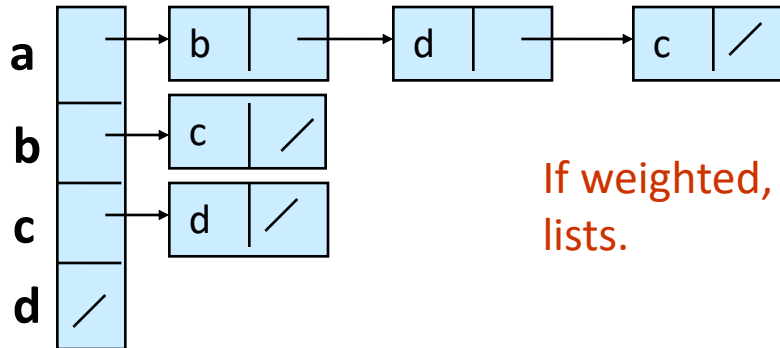
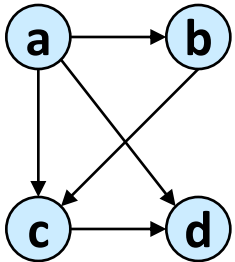
- Adjacency Matrix.



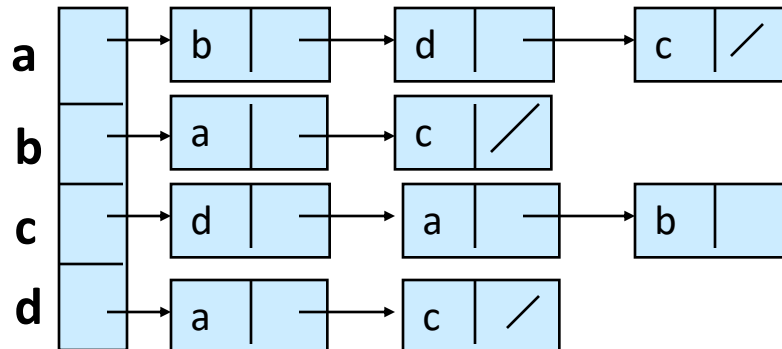
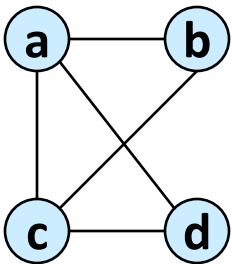
	1	2	3	4
1	0	1	1	1
2	1	0	1	0
3	1	1	0	1
4	1	0	1	0

# Adjacency Lists

- Consists of an array  $Adj$  of  $|V|$  lists.
- One list per vertex.
- For  $u \in V$ ,  $Adj[u]$  consists of all vertices adjacent to  $u$ .



If weighted, store weights also in adjacency lists.



# Storage Requirement

- For directed graphs:

- Sum of lengths of all adj. lists is

$$\sum_{v \in V} \text{out-degree}(v) = |E|$$

No. of edges leaving  $v$

- Total storage:  $\Theta(V+E)$

- For undirected graphs:

- Sum of lengths of all adj. lists is

$$\sum_{v \in V} \text{degree}(v) = 2|E|$$

No. of edges incident on  $v$ . Edge  $(u,v)$  is incident on vertices  $u$  and  $v$ .

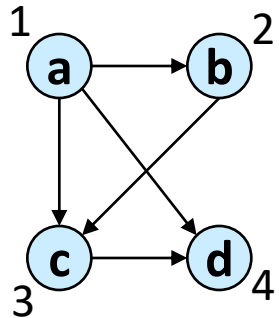
- Total storage:  $\Theta(V+E)$

# Pros and Cons: adj list

- Pros
  - Space-efficient, when a graph is sparse.
- Cons
  - Determining if an edge  $(u,v) \in G$  is not efficient.
    - Have to search in  $u$ 's adjacency list.  $\Theta(\text{degree}(u))$  time.

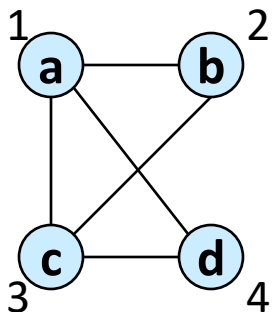
# Adjacency Matrix

- $|V| \times |V|$  matrix  $A$ .
- Number vertices from 1 to  $|V|$  in some arbitrary manner.
- $A$  is then given by:



	1	2	3	4
1	0	1	1	1
2	0	0	1	0
3	0	0	0	1
4	0	0	0	0

$$A[i, j] = a_{ij} = \begin{cases} 1 & \text{if } (i, j) \in E \\ 0 & \text{otherwise} \end{cases}$$



	1	2	3	4
1	0	1	1	1
2	1	0	1	0
3	1	1	0	1
4	1	0	1	0

$A = A^T$  for undirected graphs.



# Space and Time

- **Space:**  $\Theta(V^2)$ .
  - Not memory efficient for large graphs.
- **Time:** to list all vertices adjacent to  $u$ :  $\Theta(V)$ .
- **Time:** to determine if  $(u, v) \in E$ :  $\Theta(1)$ .
- Can store weights instead of bits for weighted graph.

# Graph-searching Algorithms

- Searching a graph:
  - Systematically follow the edges of a graph to visit the vertices of the graph.
- Used to discover the structure of a graph.
- Standard graph-searching algorithms.
  - Breadth-first Search (BFS).
  - Depth-first Search (DFS).

# Breadth-first Search

- **Input:** Graph  $G = (V, E)$ , either directed or undirected, and **source vertex**  $s \in V$ .
- **Output:**
  - $d[v]$  = distance (smallest # of edges, or shortest path) from  $s$  to  $v$ , for all  $v \in V$ .  $d[v] = \infty$  if  $v$  is not reachable from  $s$ .
  - $\pi[v] = u$  such that  $(u, v)$  is last edge on shortest path  $s \rightsquigarrow v$ .
    - $u$  is  $v$ 's **predecessor**.
  - Builds breadth-first tree with root  $s$  that contains all reachable vertices.

## Definitions:

**Path** between vertices  $u$  and  $v$ : Sequence of vertices  $(v_1, v_2, \dots, v_k)$  such that  $u=v_1$  and  $v=v_k$ , and  $(v_i, v_{i+1}) \in E$ , for all  $1 \leq i \leq k-1$ .

**Length of the path**: Number of edges in the path.

Path is **simple** if no vertex is repeated.

# Breadth-first Search

- Expands the frontier between discovered and undiscovered vertices **uniformly** across the breadth of the frontier.
  - A vertex is “discovered” the first time it is encountered during the search.
  - A vertex is “finished” if all vertices adjacent to it have been discovered.
- Colors the vertices to keep track of progress.
  - **White** – Undiscovered.
  - **Gray** – Discovered but not finished.
  - **Black** – Finished.
    - Colors are required only to reason about the algorithm. Can be implemented without colors.

## BFS(G,s)

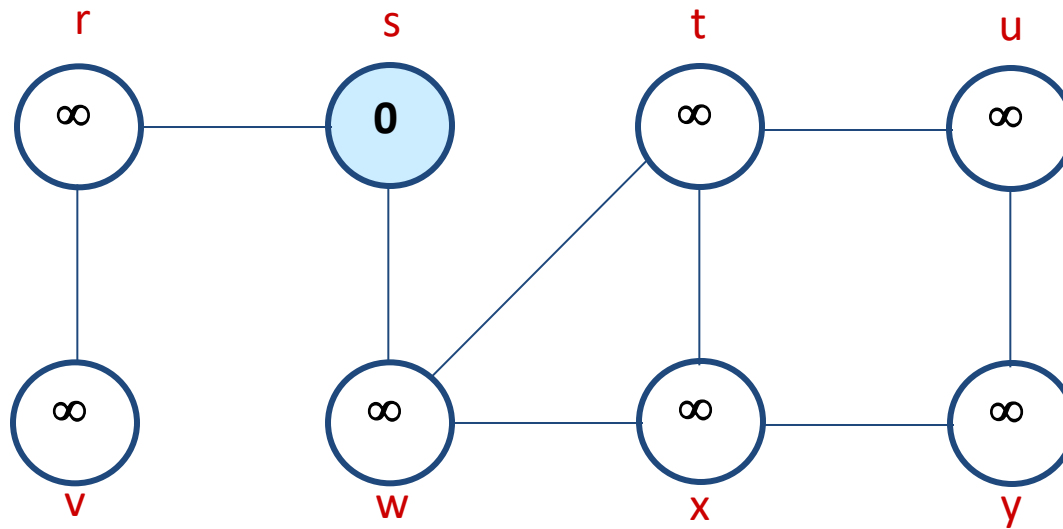
```
1. for each vertex  $u$  in  $V[G] - \{s\}$ 
2     do  $color[u] \leftarrow \text{white}$ 
3      $d[u] \leftarrow \infty$ 
4      $\pi[u] \leftarrow \text{nil}$ 
5  $color[s] \leftarrow \text{gray}$ 
6  $d[s] \leftarrow 0$ 
7  $\pi[s] \leftarrow \text{nil}$ 
8  $Q \leftarrow \Phi$ 
9  $\text{enqueue}(Q,s)$ 
10 while  $Q \neq \Phi$ 
11     do  $u \leftarrow \text{dequeue}(Q)$ 
12         for each  $v$  in  $\text{Adj}[u]$ 
13             do if  $color[v] = \text{white}$ 
14                 then  $color[v] \leftarrow \text{gray}$ 
15                      $d[v] \leftarrow d[u] + 1$ 
16                      $\pi[v] \leftarrow u$ 
17                      $\text{enqueue}(Q,v)$ 
18      $color[u] \leftarrow \text{black}$ 
```

white: undiscovered  
gray: discovered  
black: finished

$Q$ : a queue of discovered  
vertices  
 $color[v]$ : color of  $v$   
 $d[v]$ : distance from  $s$  to  $v$   
 $\pi[u]$ : predecessor of  $v$

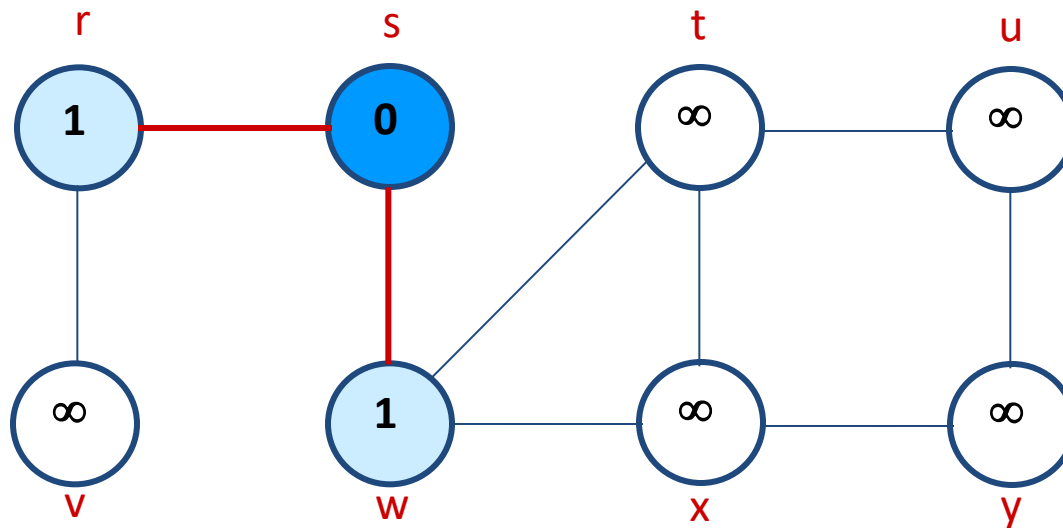
**Example:** animation.

# Example (BFS)



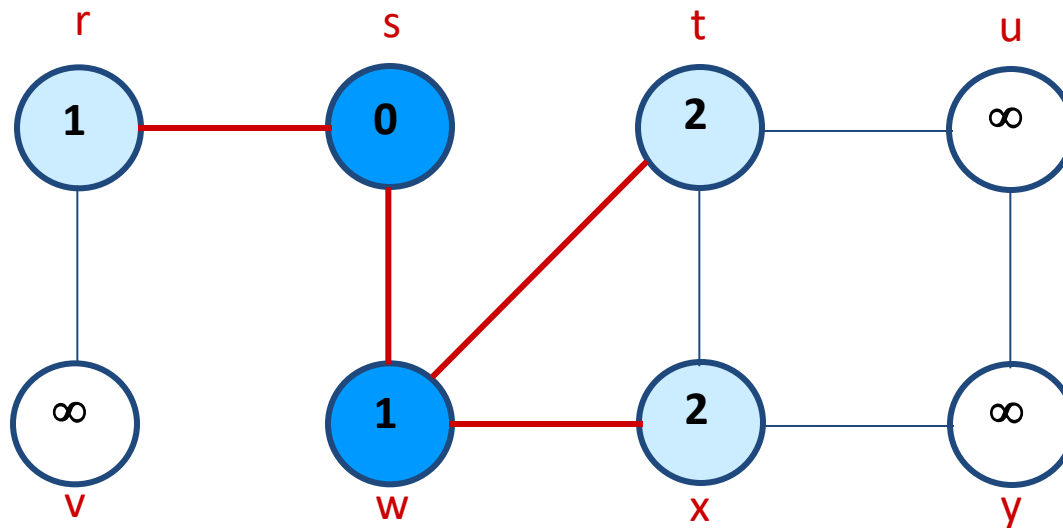
Q: s  
0

# Example (BFS)



Q: w r  
1 1

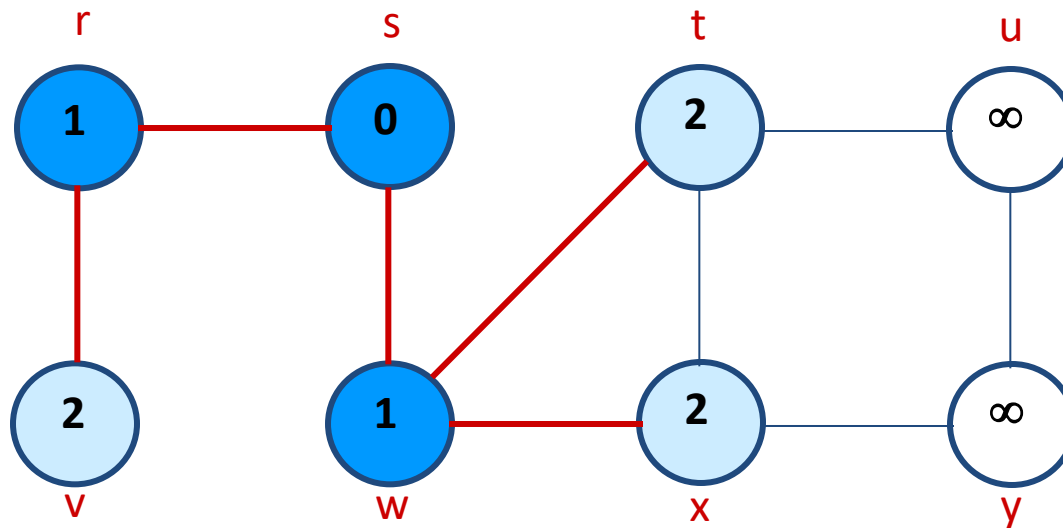
# Example (BFS)



Q: r t x  
1 2 2

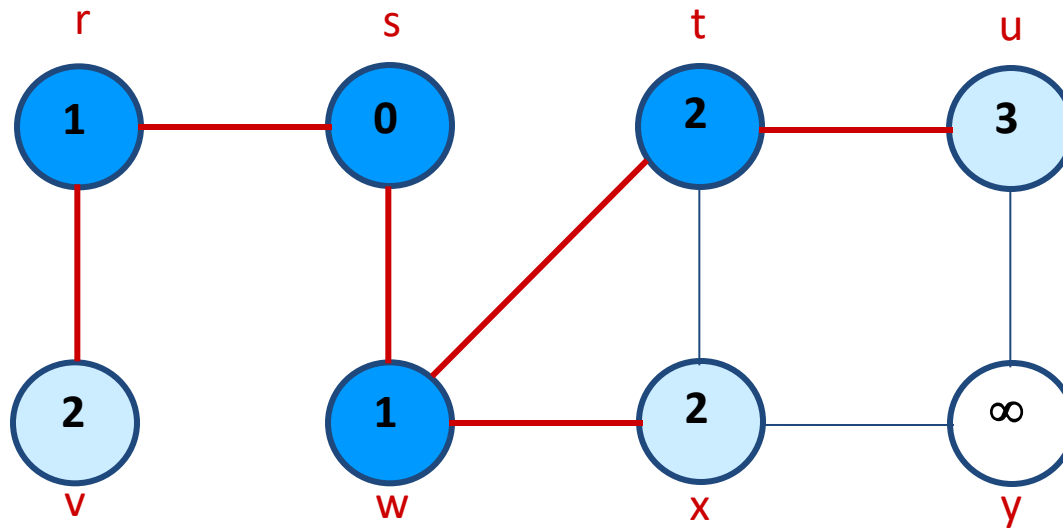


# Example (BFS)



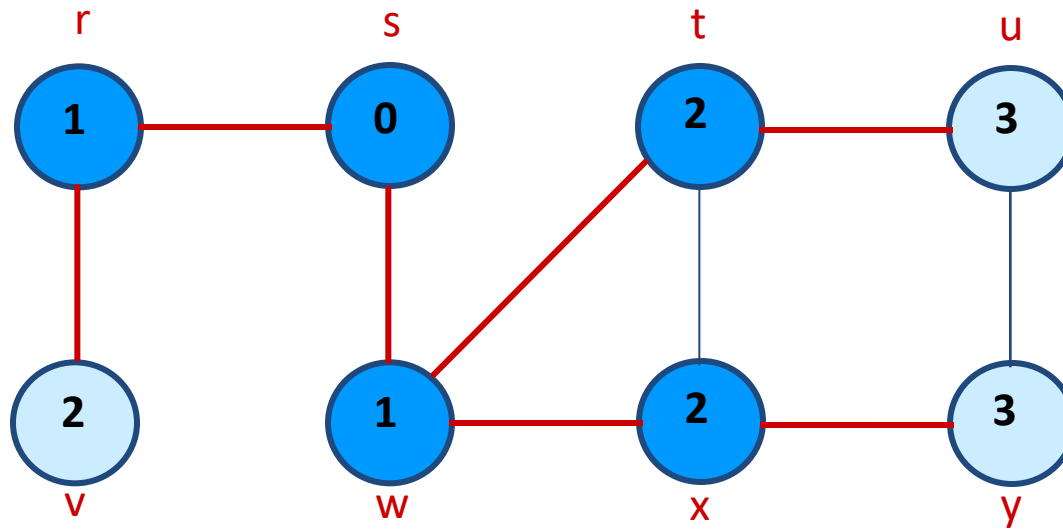
Q: t x v  
2 2 2

# Example (BFS)



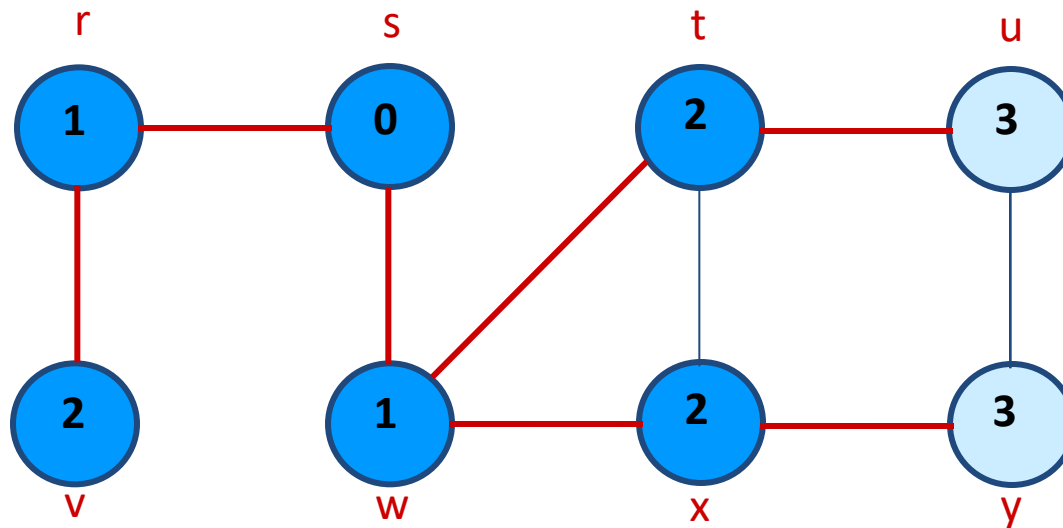
Q: x v u  
2 2 3

# Example (BFS)



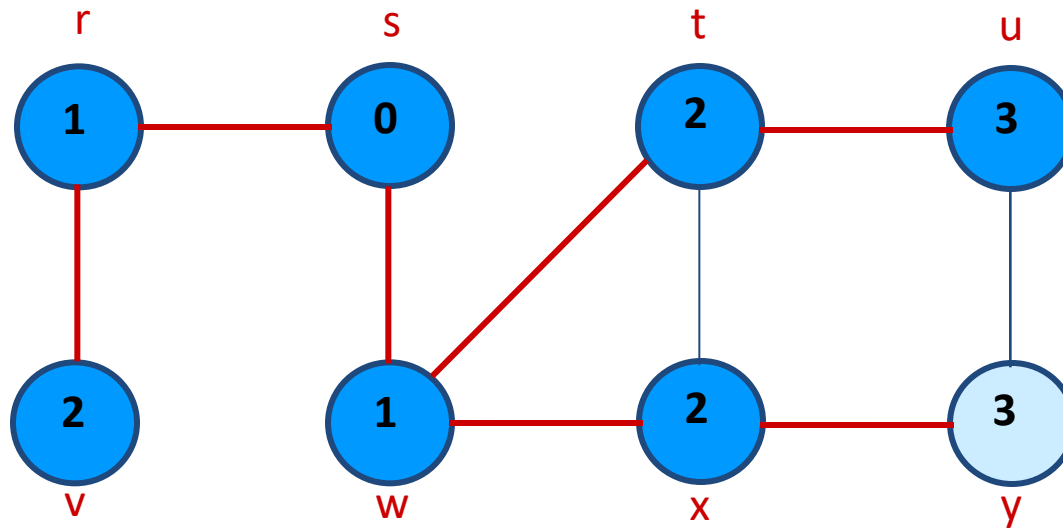
Q: v u y  
2 3 3

# Example (BFS)



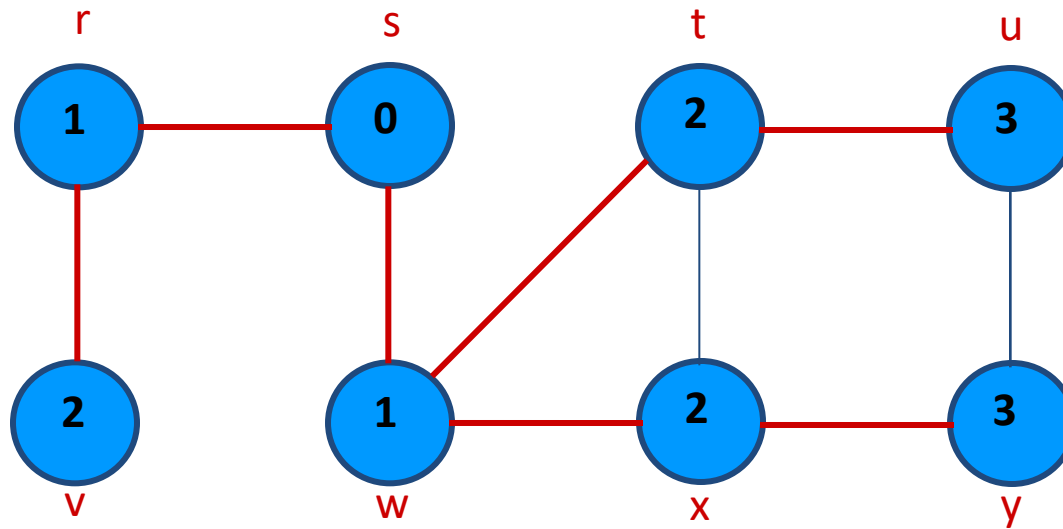
Q: u y  
3 3

# Example (BFS)



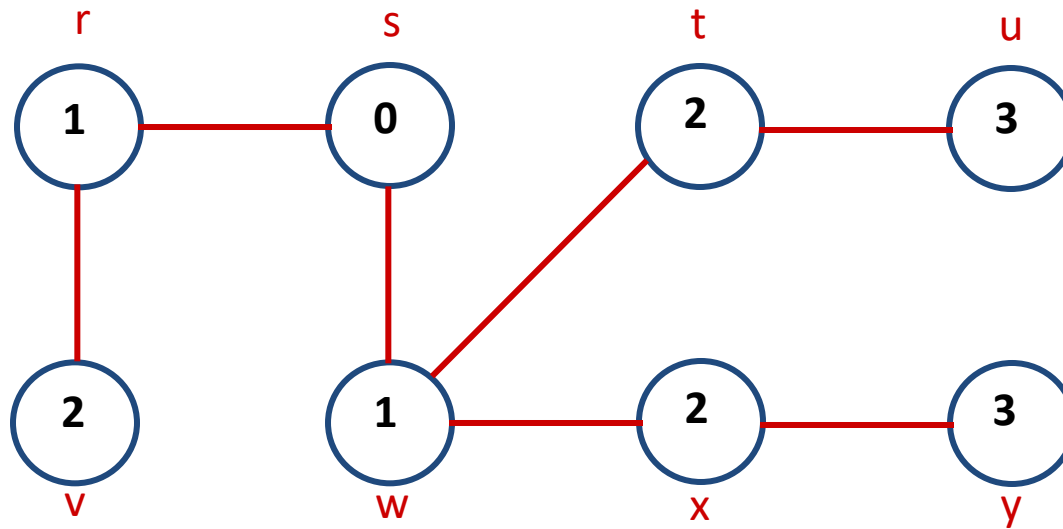
Q: y  
3

# Example (BFS)



Q:  $\emptyset$

# Example (BFS)



**BF Tree**

# Analysis of BFS

- Initialization takes  $O(V)$ .
- Traversal Loop
  - After initialization, each vertex is enqueued and dequeued at most once, and each operation takes  $O(1)$ . So, total time for queuing is  $O(V)$ .
  - The adjacency list of each vertex is scanned at most once. The sum of lengths of all adjacency lists is  $\Theta(E)$ .
- Summing up over all vertices => total running time of BFS is  $O(V+E)$ , linear in the size of the adjacency list representation of graph.
- .

## BFS(G,s)

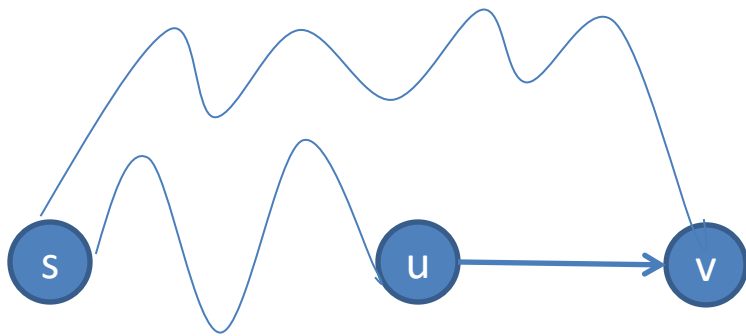
```
1. for each vertex  $u$  in  $V[G] - \{s\}$ 
2       do  $color[u] \leftarrow \text{white}$ 
3        $d[u] \leftarrow \infty$ 
4        $\pi[u] \leftarrow \text{nil}$ 
5  $color[s] \leftarrow \text{gray}$ 
6  $d[s] \leftarrow 0$ 
7  $\pi[s] \leftarrow \text{nil}$ 
8  $Q \leftarrow \Phi$ 
9  $\text{enqueue}(Q,s)$ 
10 while  $Q \neq \Phi$ 
11     do  $u \leftarrow \text{dequeue}(Q)$ 
12         for each  $v$  in  $\text{Adj}[u]$ 
13             do if
14                  $color[v] = \text{white}$ 
15                     then  $color[v] \leftarrow \text{gray}$ 
16                          $d[v] \leftarrow d[u] + 1$ 
17                          $\pi[v] \leftarrow u$ 
18                          $\text{enqueue}(Q,v)$ 
19                          $color[u] \leftarrow \text{black}$ 
```



## *Lemma* 1

Let  $G = (V, E)$  be a directed or undirected graph, and let  $s \in V$  be an arbitrary vertex. Then, for any edge  $(u, v) \in E$ ,

$$\delta(s, v) \leq \delta(s, u) + 1 .$$



## *Lemma* 2

Let  $G = (V, E)$  be a directed or undirected graph, and suppose that BFS is run on  $G$  from a given source vertex  $s \in V$ . Then upon termination, for each vertex  $v \in V$ , the value  $v.d$  computed by BFS satisfies  $v.d \geq \delta(s, v)$ .

### BFS( $G, s$ )

```
1. for each vertex  $u$  in  $V[G] - \{s\}$ 
2.     do  $color[u] \leftarrow \text{white}$ 
3.      $d[u] \leftarrow \infty$ 
4.      $\pi[u] \leftarrow \text{nil}$ 
5.  $color[s] \leftarrow \text{gray}$ 
6.  $d[s] \leftarrow 0$ 
7.  $\pi[s] \leftarrow \text{nil}$ 
8.  $Q \leftarrow \Phi$ 
9. enqueue( $Q, s$ )
10. while  $Q \neq \Phi$ 
11.     do  $u \leftarrow \text{dequeue}(Q)$ 
12.         for each  $v$  in  $\text{Adj}[u]$ 
13.             do if  $color[v] = \text{white}$ 
14.                 then  $color[v] \leftarrow \text{gray}$ 
15.                      $d[v] \leftarrow d[u] + 1$ 
16.                      $\pi[v] \leftarrow u$ 
17.                     enqueue( $Q, v$ )
18.      $color[u] \leftarrow \text{black}$ 
```

- For initial case,
  - $\delta(s,s)=0$
  - $s.d=0$
  - $s.d \geq \delta(s,s)$
  - $v.d=\infty$  *for all*  $v \in V-s$
  - $v.d \geq \delta(s,v)$

- We are modifying distance of a node when it is explored for the first time
- Assuming node  $v$  is being explored through node  $u$  and for node  $u$ ,  $u.d \geq \delta(s,u)$ , we have to show it is true for  $v$  as well
- $v.d = u.d + 1 \geq \delta(s,u) + 1 \geq \delta(s,v)$

### *Lemma 3*

Suppose that during the execution of BFS on a graph  $G = (V, E)$ , the queue  $Q$  contains the vertices  $\langle v_1, v_2, \dots, v_r \rangle$ , where  $v_1$  is the head of  $Q$  and  $v_r$  is the tail. Then,  $v_r.d \leq v_1.d + 1$  and  $v_i.d \leq v_{i+1}.d$  for  $i = 1, 2, \dots, r - 1$ .

- After initialization, there is only  $s$  in  $Q$ , so there is no violation
- Assuming at certain stage there are  $v_1, v_2, \dots, v_r$  are there in  $Q$  and it satisfies the constraints. We have to show dequeue and enqueue does not violate this condition.
- $v_r.d \leq v_1.d + 1 \leq v_2.d + 1$
- Let's say  $v_{r+1}$  node is going to be enqueued through node  $u$ .
- $u.d \leq v_1.d$
- $v_{r+1}.d = u.d + 1 \leq v_1.d + 1$

### *Lemma* 4

Suppose that vertices  $v_i$  and  $v_j$  are enqueued during the execution of BFS, and that  $v_i$  is enqueued before  $v_j$ . Then  $v_i.d \leq v_j.d$  at the time that  $v_j$  is enqueued.

### *Lemma* 5

Let  $G = (V, E)$  be a directed or undirected graph, and suppose that BFS is run on  $G$  from a given source vertex  $s \in V$ . Then, during its execution, BFS discovers every vertex  $v \in V$  that is reachable from the source  $s$ , and upon termination,  $v.d = \delta(s, v)$  for all  $v \in V$ . Moreover, for any vertex  $v \neq s$  that is reachable from  $s$ , one of the shortest paths from  $s$  to  $v$  is a shortest path from  $s$  to  $v.\pi$  followed by the edge  $(v.\pi, v)$ .

- Assume  $v$  is assigned a distance which is not equal to its shortest path distance and  $v$  is such node with shortest  $\delta(s,v)$ .
- As we have already shown  $v.d \geq \delta(s,v)$ , it implies that  $v.d > \delta(s,v)$
- Let  $u$  is the preceding node in shortest path from  $s$  to  $v$ . However,  $u.d = \delta(s,u)$
- $v.d > \delta(s,v) = \delta(s,u) + 1 = u.d + 1$

- $v$  is white:
  - $V.d = u.d + 1$
- $v$  is black:
  - $v$  is already dequeued and hence as per lemma 4,  
 $v.d \leq u.d$
- $v$  is grey:
  - Assume  $v$  is colored grey when node  $w$  was dequeued.  
 $w.d = v.d - 1$
  - $w.d \leq u.d$
  - $v.d - 1 \leq u.d$
  - $V.d \leq u.d + 1$



# Breadth-first Tree

- For a graph  $G = (V, E)$  with source  $s$ , the **predecessor subgraph** of  $G$  is  $G_\pi = (V_\pi, E_\pi)$  where
  - $V_\pi = \{v \in V : \pi[v] \neq \text{NIL}\} \cup \{s\}$
  - $E_\pi = \{(\pi[v], v) \in E : v \in V_\pi - \{s\}\}$
- The predecessor subgraph  $G_\pi$  is a **breadth-first tree** :
  - $V_\pi$  consists of the vertices reachable from  $s$  and
  - for all  $v \in V_\pi$ , there is a unique simple path from  $s$  to  $v$  in  $G_\pi$  that is also a shortest path from  $s$  to  $v$  in  $G$ .
- The edges in  $E_\pi$  are called **tree edges**.  
 $|E_\pi| = |V_\pi| - 1.$

# Depth-first Search (DFS)

- Explore edges out of the most recently discovered vertex  $v$ .
- When all edges of  $v$  have been explored, backtrack to explore other edges leaving the vertex from which  $v$  was discovered (its *predecessor*).
- “Search as deep as possible first.”
- Continue until all vertices reachable from the original source are discovered.
- If any undiscovered vertices remain, then one of them is chosen as a new source and search is repeated from that source.

# Depth-first Search

- **Input:**  $G = (V, E)$ , directed or undirected. No source vertex given!
- **Output:**
  - **2 timestamps on each vertex.** Integers between 1 and  $2|V|$ .
    - $d[v] = \textit{discovery time}$  ( $v$  turns from white to gray)
    - $f[v] = \textit{finishing time}$  ( $v$  turns from gray to black)
  - $\pi[v]$  : predecessor of  $v = u$ , such that  $v$  was discovered during the scan of  $u$ 's adjacency list.
- Uses the same coloring scheme for vertices as BFS.

# Pseudo-code

## DFS( $G$ )

1. **for** each vertex  $u \in V[G]$
2.     **do**  $color[u] \leftarrow \text{white}$
3.          $\pi[u] \leftarrow \text{NIL}$
4.  $time \leftarrow 0$
5. **for** each vertex  $u \in V[G]$
6.     **do if**  $color[u] = \text{white}$
7.         **then** DFS-Visit( $u$ )

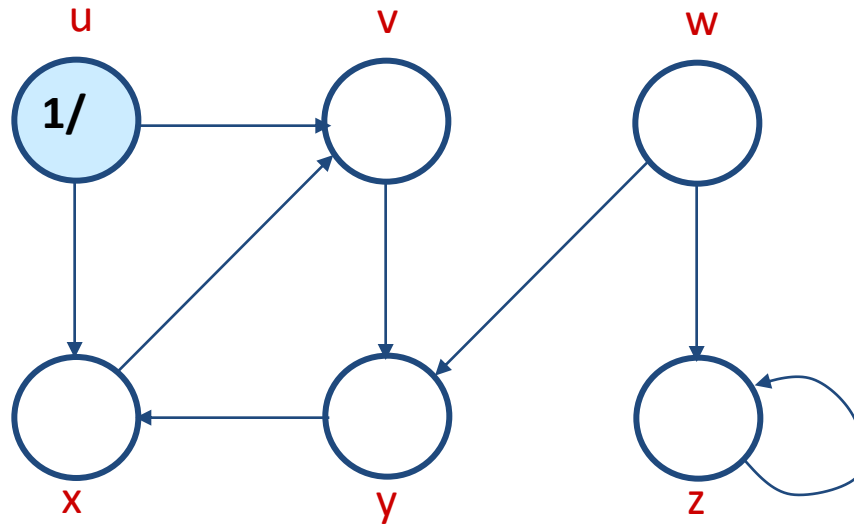
Uses a global timestamp *time*.

**Example:** animation.

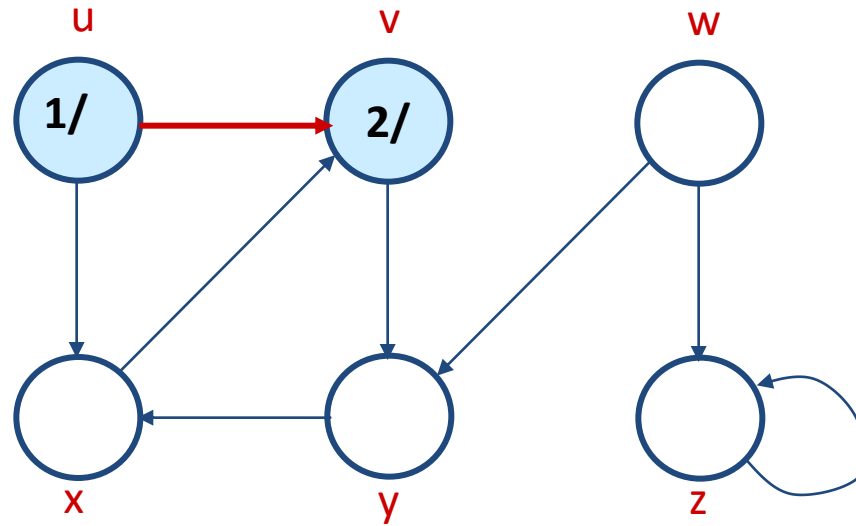
## DFS-Visit( $u$ )

1.      $color[u] \leftarrow \text{GRAY}$   $\nabla$  White vertex  $u$  has been discovered
2.      $time \leftarrow time + 1$
3.      $d[u] \leftarrow time$
4.     **for** each  $v \in Adj[u]$
5.         **do if**  $color[v] = \text{WHITE}$
6.             **then**  $\pi[v] \leftarrow u$
7.             DFS-Visit( $v$ )
8.      $color[u] \leftarrow \text{BLACK}$   $\nabla$  Blacken  $u$ ; it is finished.
9.      $f[u] \leftarrow time \leftarrow time + 1$

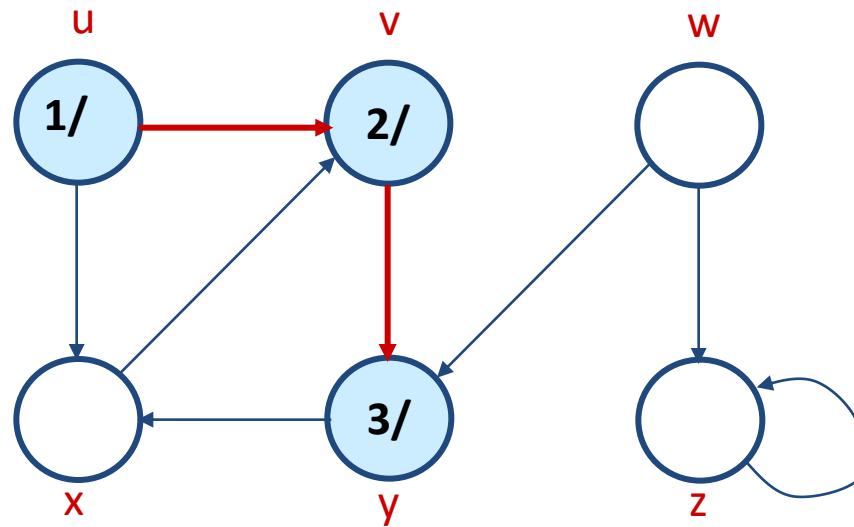
# Example (DFS)



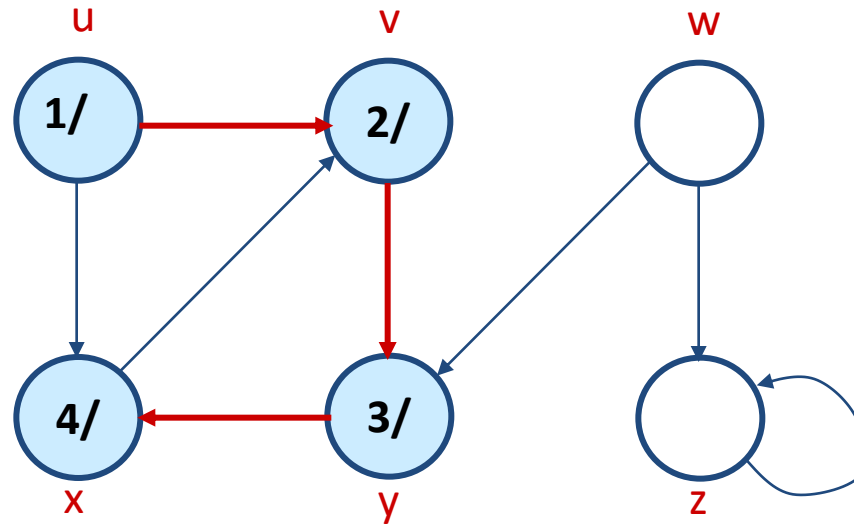
# Example (DFS)



# Example (DFS)

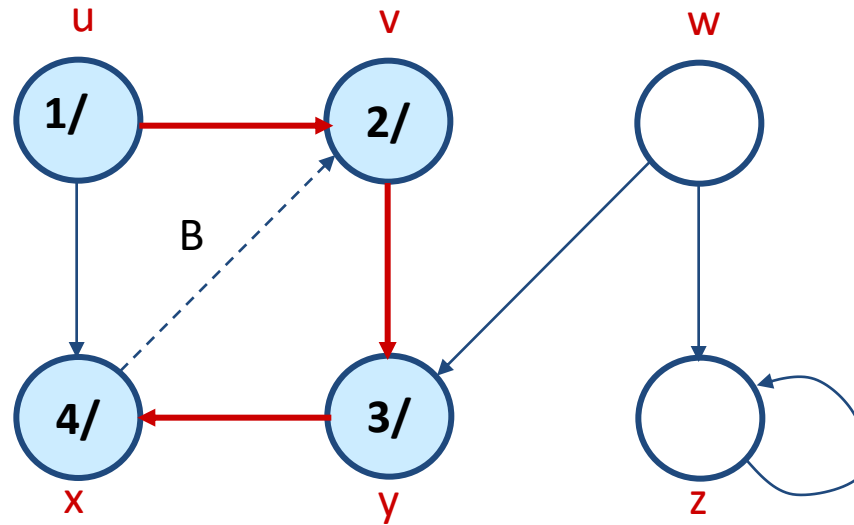


# Example (DFS)

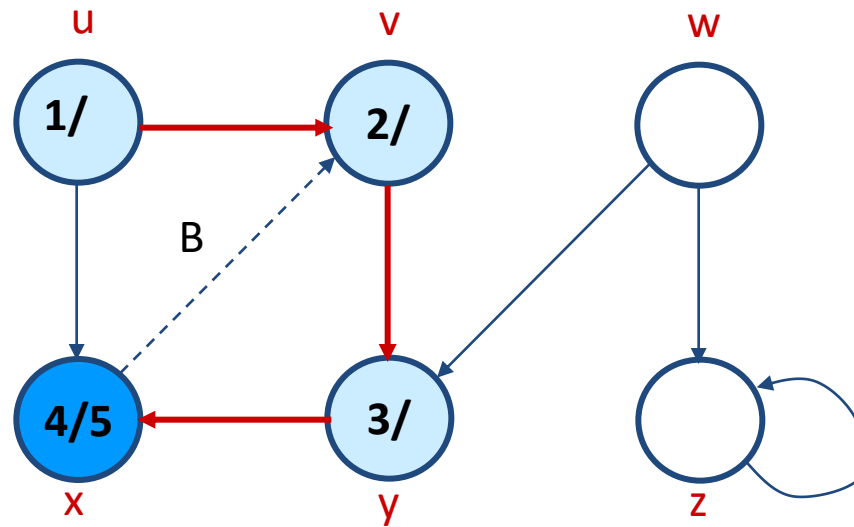




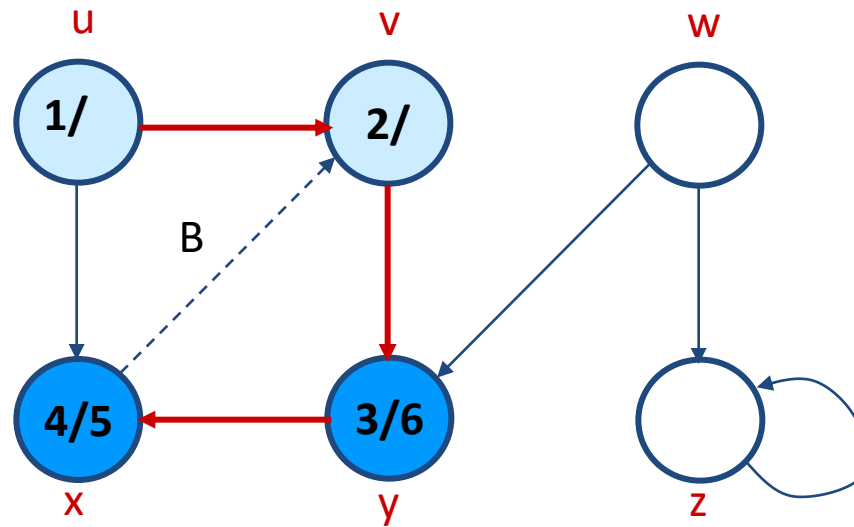
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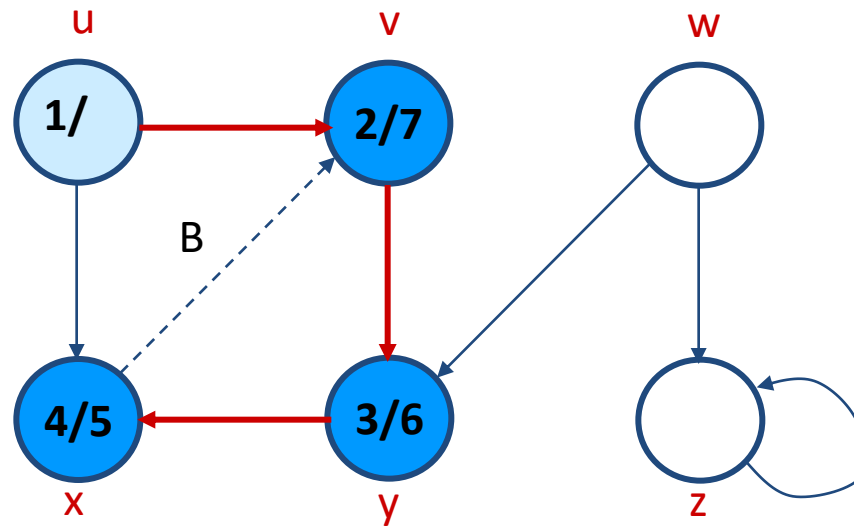
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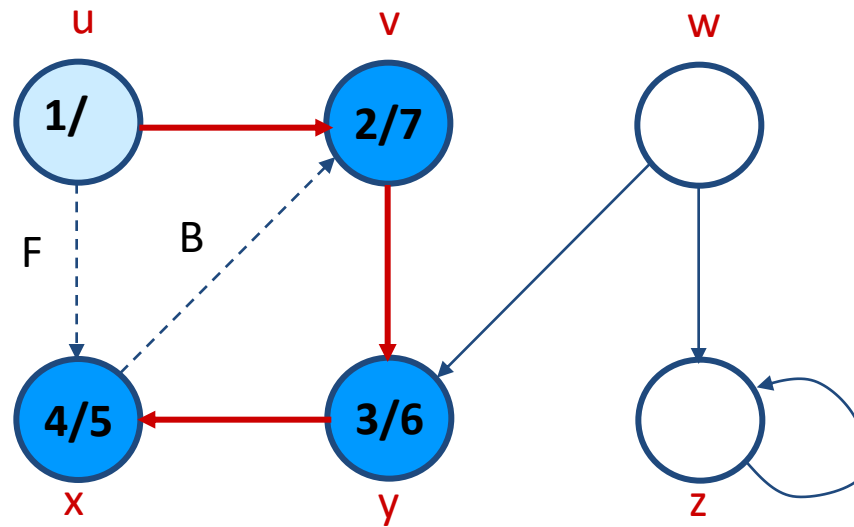
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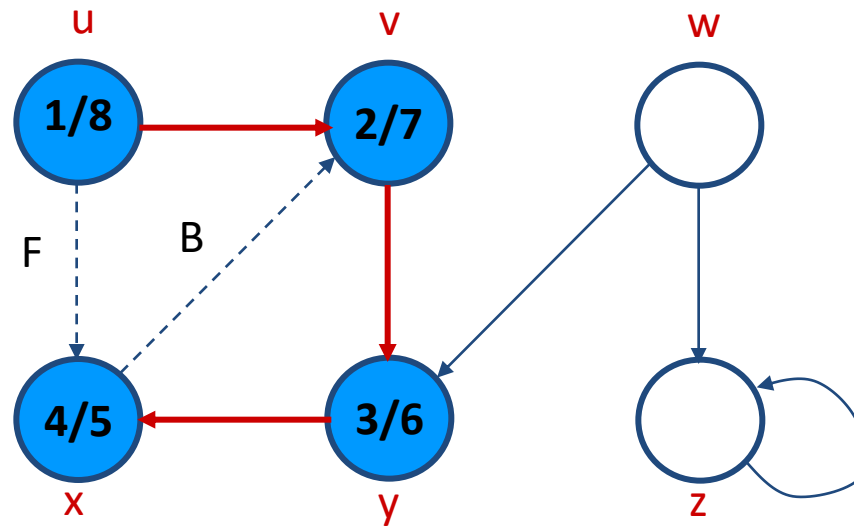
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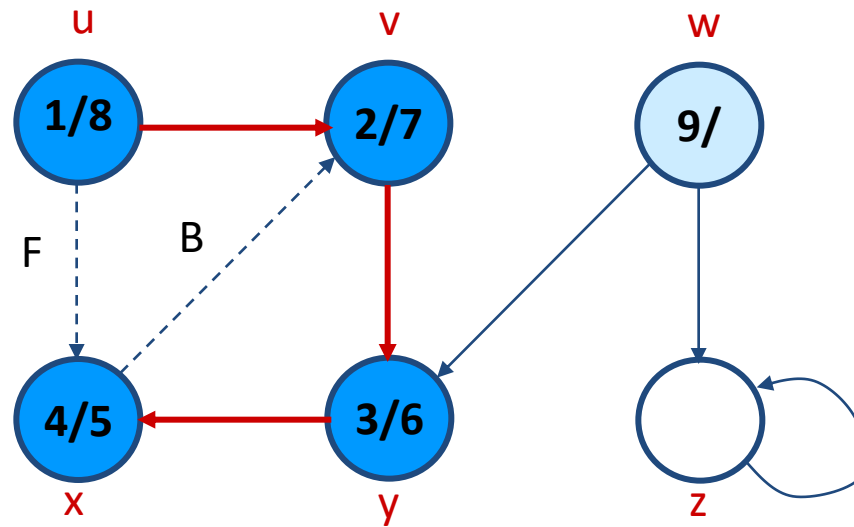
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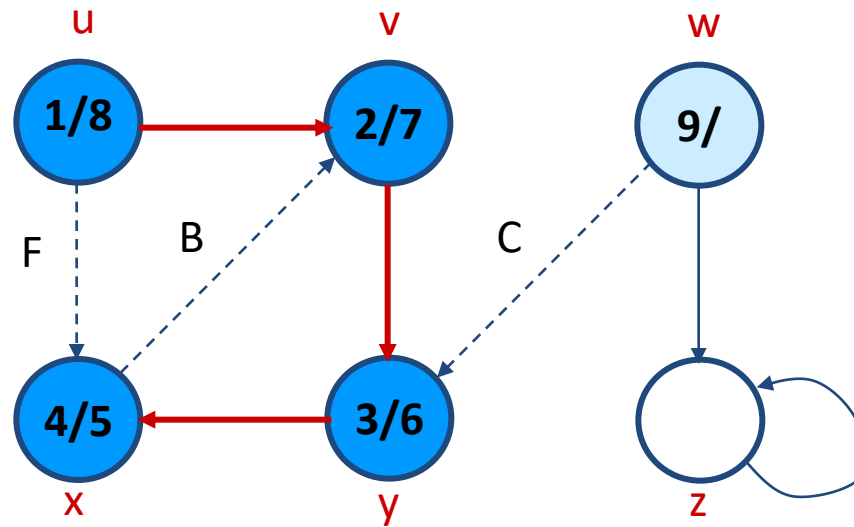
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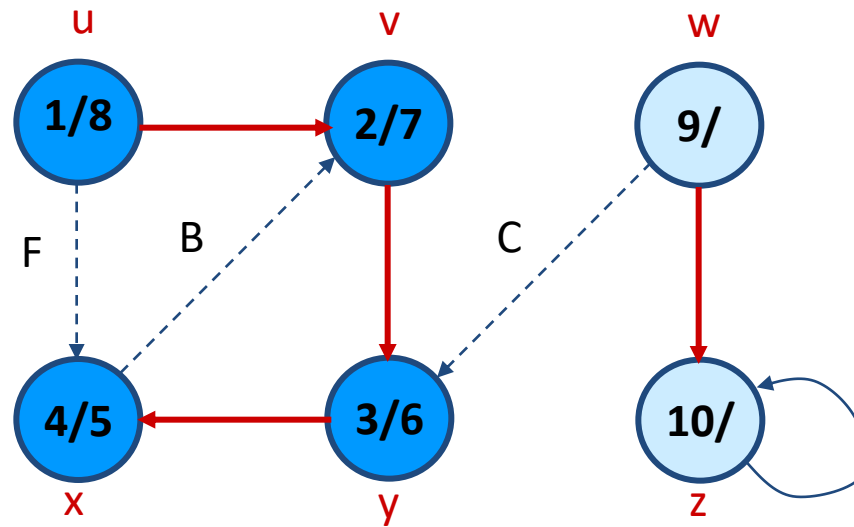


# Example (DFS)

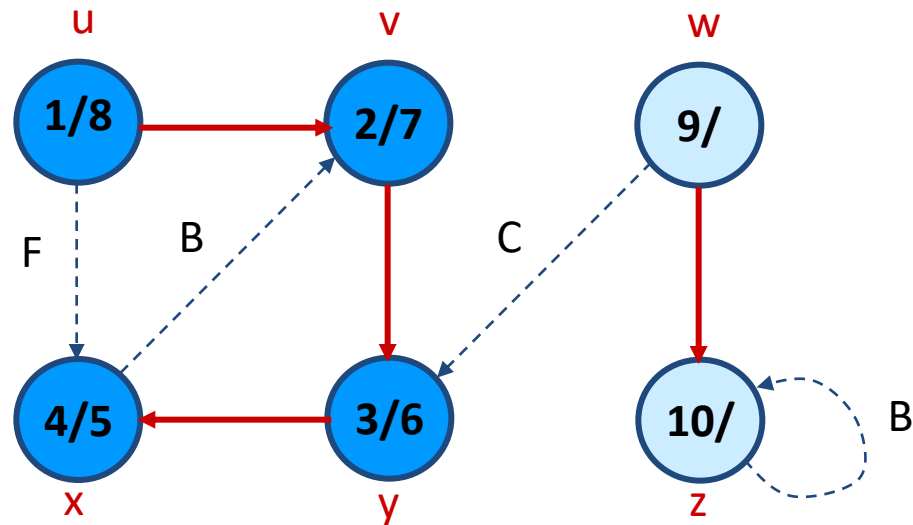




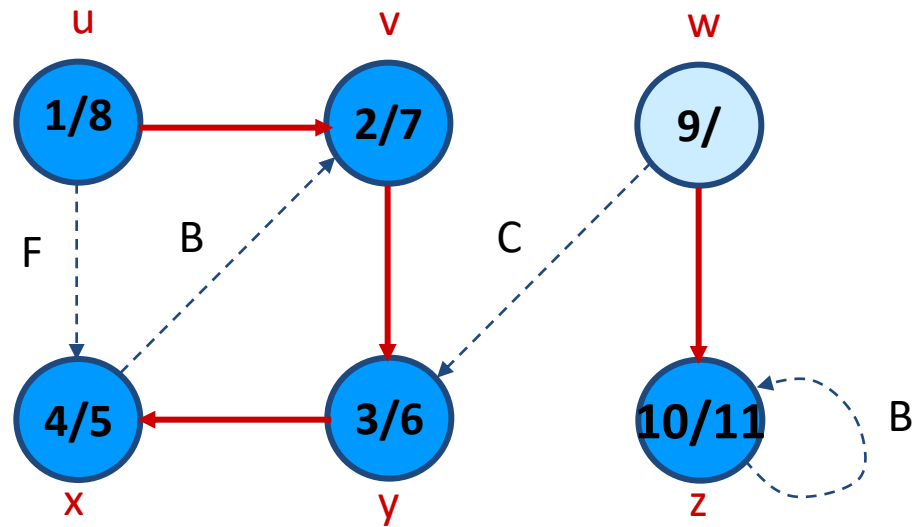
# Example (DFS)



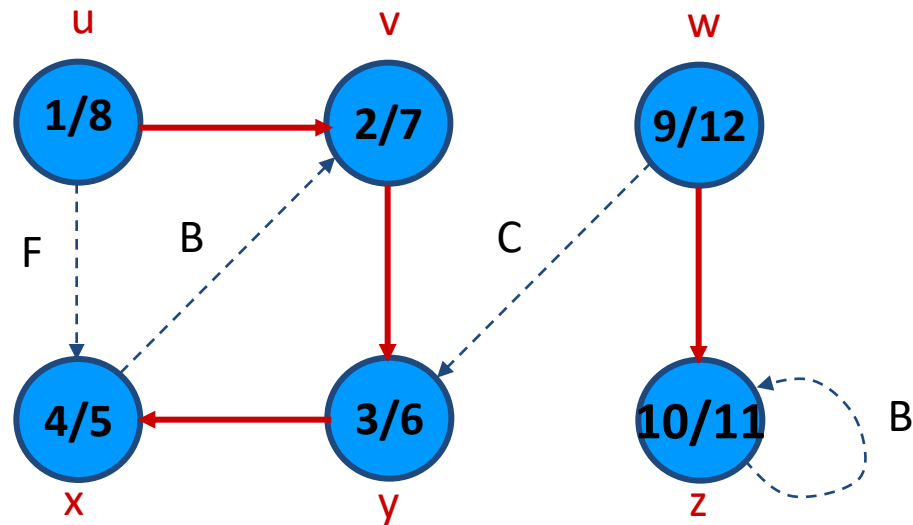
# Example (DFS)



# Example (DFS)



# Example (DFS)



# Analysis of DFS

- Loops on lines 1-2 & 5-7 take  $\Theta(V)$  time, excluding time to execute DFS-Visit.
- DFS-Visit is called once for each white vertex  $v \in V$  when it's painted gray the first time. Lines 4-7 of DFS-Visit is executed  $|\text{Adj}[v]|$  times. The total cost of executing DFS-Visit is  $\sum_{v \in V} |\text{Adj}[v]| = \Theta(E)$
- Total running time of DFS is  $\Theta(V+E)$ .

## DFS(G)

1. **for** each vertex  $u \in V[G]$
2.     **do**  $\text{color}[u] \leftarrow \text{white}$
3.      $\pi[u] \leftarrow \text{NIL}$
4.  $\text{time} \leftarrow 0$
5. **for** each vertex  $u \in V[G]$
6.     **do if**  $\text{color}[u] = \text{white}$
7.         **then** DFS-Visit( $u$ )

## DFS-Visit( $u$ )

1.  $\text{color}[u] \leftarrow \text{GRAY}$   $\nabla$  White vertex  $u$  has been discovered
2.  $\text{time} \leftarrow \text{time} + 1$
3.  $d[u] \leftarrow \text{time}$
4. **for** each  $v \in \text{Adj}[u]$
5.     **do if**  $\text{color}[v] = \text{WHITE}$
6.         **then**  $\pi[v] \leftarrow u$
7.         DFS-Visit( $v$ )
8.  $\text{color}[u] \leftarrow \text{BLACK}$   $\nabla$  Blacken  $u$ ; it is finished.
9.  $f[u] \leftarrow \text{time} \leftarrow \text{time} + 1$

# Parenthesis Theorem

## Theorem 22.7

For all  $u, v$ , exactly one of the following holds:

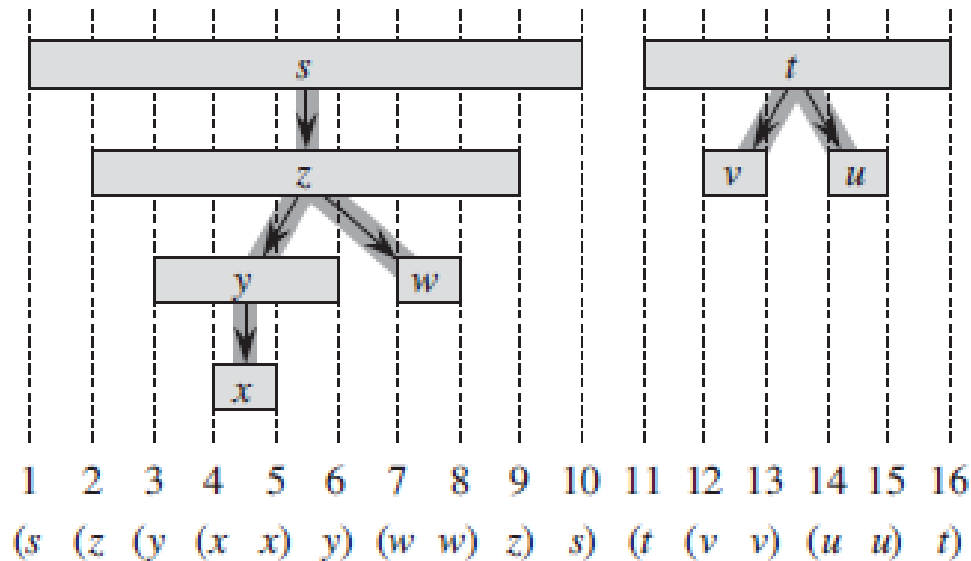
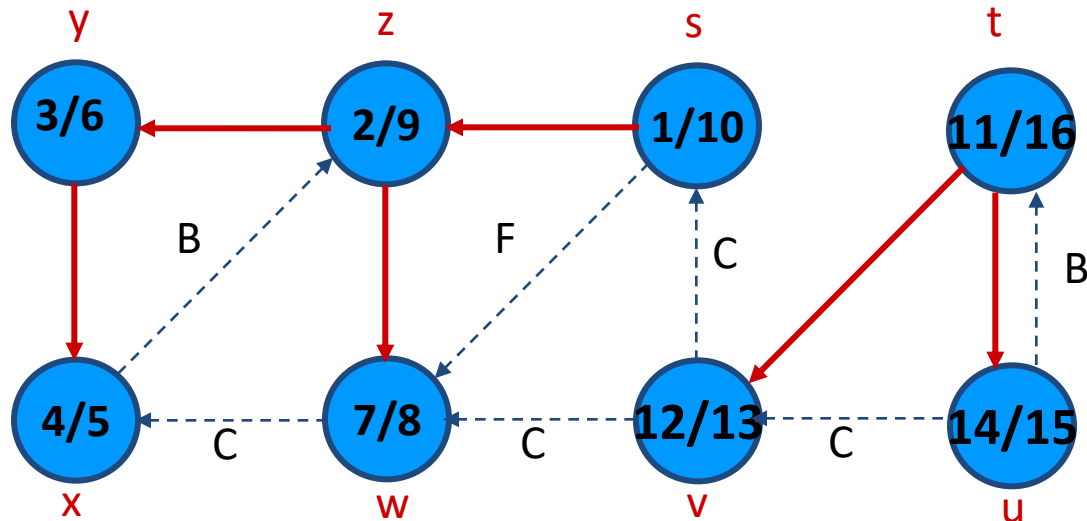
1.  $d[u] < f[u] < d[v] < f[v]$  or  $d[v] < f[v] < d[u] < f[u]$  and neither  $u$  nor  $v$  is a descendant of the other.  $\Rightarrow ()[]$  or  $[]()$
2.  $d[u] < d[v] < f[v] < f[u]$  and  $v$  is a descendant of  $u$ .  $\Rightarrow ([])$
3.  $d[v] < d[u] < f[u] < f[v]$  and  $u$  is a descendant of  $v$ .  $\Rightarrow [()]$

- ♦ So  $d[u] < d[v] < f[u] < f[v]$  *cannot* happen.
- ♦ Like parentheses:
  - ♦ OK:  $() [] ([]) [()]$
  - ♦ Not OK:  $( []) ] [ ( ) ]$

## **Corollary**

$v$  is a proper descendant of  $u$  if and only if  $d[u] < d[v] < f[v] < f[u]$ .

# Example (Parenthesis Theorem)



# Depth-First Trees

- Predecessor subgraph defined slightly different from that of BFS.
- The predecessor subgraph of DFS is  $G_\pi = (V, E_\pi)$  where  $E_\pi = \{(\pi[v], v) : v \in V \text{ and } \pi[v] \neq \text{NIL}\}$ .
  - How does it differ from that of BFS?
  - The predecessor subgraph  $G_\pi$  forms a *depth-first forest* composed of several *depth-first trees*. The edges in  $E_\pi$  are called *tree edges*.

Definition:

**Forest:** An acyclic graph  $G$  that may be disconnected.



# White-path Theorem

## Theorem 22.9

$v$  is a descendant of  $u$  if and only if at time  $d[u]$ , there is a path  $u \rightsquigarrow v$  consisting of only white vertices. (Except for  $u$ , which was *just* colored gray.)

# Classification of Edges

- **Tree edge:** in the depth-first forest. Found by exploring  $(u, v)$ .
- **Back edge:**  $(u, v)$ , where  $u$  is a descendant of  $v$  (in the depth-first tree).
- **Forward edge:**  $(u, v)$ , where  $v$  is a descendant of  $u$ , but not a tree edge.
- **Cross edge:** any other edge. Can go between vertices in same depth-first tree or in different depth-first trees.

## Theorem:

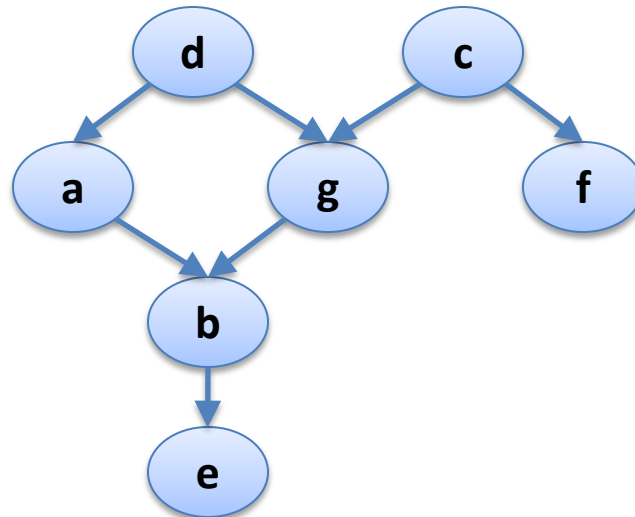
In DFS of an undirected graph, we get only tree and back edges. No forward or cross edges.

# Topological sort

- We have a **set of tasks** and a **set of dependencies (precedence constraints)** of form “task A must be done before task B”
- **Topological sort:** An ordering of the tasks that conforms with the given dependencies
- **Goal:** Find a topological sort of the tasks or decide that there is no such ordering

# Examples

- **Scheduling:** When scheduling *task graphs* in distributed systems, usually we first need to sort the tasks topologically ...and then assign them to resources
- Or during compilation to order modules/libraries



# Examples

- **Resolving dependencies:** *apt-get* uses topological sorting to obtain the admissible sequence in which a set of Debian packages can be installed/removed

# Topological sort more formally

- Suppose that in a **directed** graph  $G = (V, E)$  vertices  $V$  represent tasks, and each edge  $(u, v) \in E$  means that task  $u$  must be done before task  $v$
- What is an ordering of vertices  $1, \dots, |V|$  such that for every edge  $(u, v)$ ,  $u$  appears before  $v$  in the ordering?
- Such an ordering is called a **topological sort of  $G$**
- Note: there can be multiple topological sorts of  $G$

# Topological sort more formally

- Is it possible to execute all the tasks in **G** in an order that respects all the precedence requirements given by the graph edges?
- The answer is "**yes**" *if and only if* the directed graph **G** has **no cycle**!  
(otherwise we have a **deadlock**)
- Such a **G** is called a Directed Acyclic Graph, or just a **DAG**

# Algorithm for TS

- TOPOLOGICAL-SORT(**G**):
  - 1) call DFS(**G**) to compute **finishing** times **f[v]** for each vertex **v**
  - 2) as each vertex is finished, insert it onto the **front** of a linked list
  - 3) return the linked list of vertices
- Note that the result is just a list of vertices in order of **decreasing** finish times **f[]**

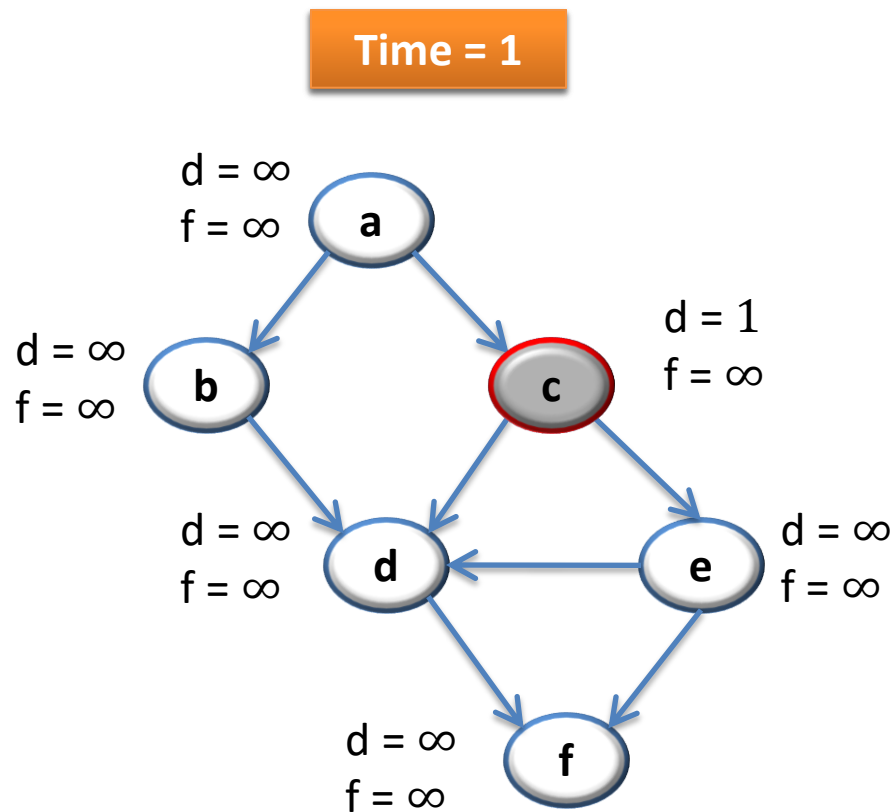


# DAGs and back edges

- Can there be a **back** edge in a DFS on a DAG?
- NO! Back edges close a cycle!
- A graph **G** is a DAG  $\iff$  there is no back edge classified by DFS(**G**)

# Topological sort

1) Call DFS(**G**) to compute the finishing times **f[v]**

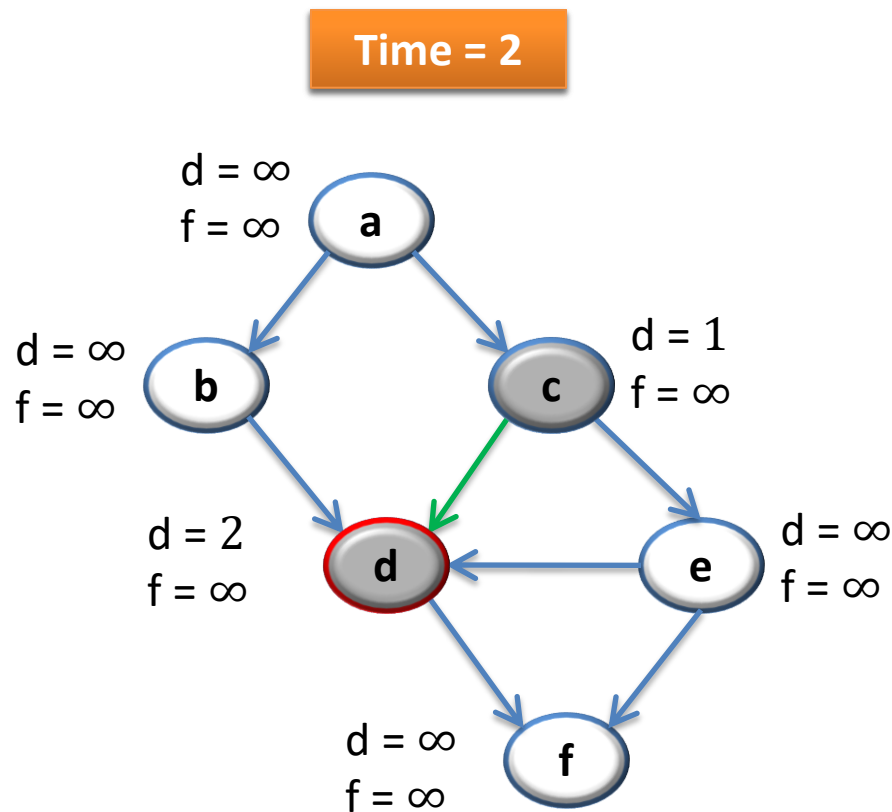


Let's say we start the DFS from the vertex **c**

Next we discover the vertex **d**

# Topological sort

1) Call  $\text{DFS}(\mathbf{G})$  to compute the finishing times  $\mathbf{f}[\mathbf{v}]$

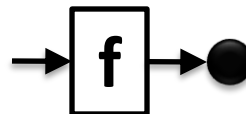
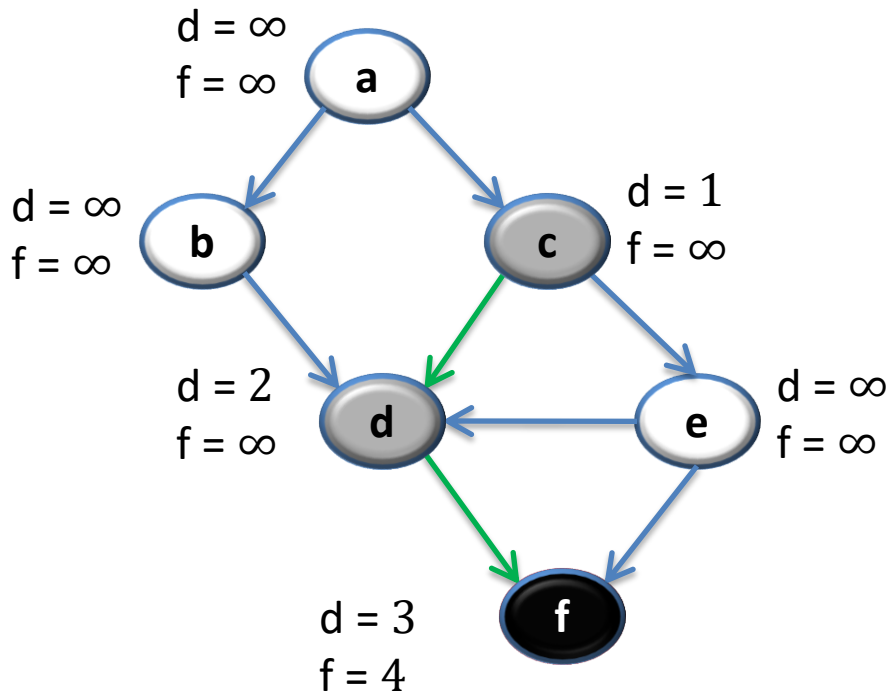


Let's say we start the DFS from the vertex **c**

Next we discover the vertex **d**

# Topological sort

Time = 3,4



1) Call DFS(**G**) to compute the finishing times **f[v]**

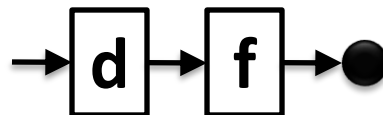
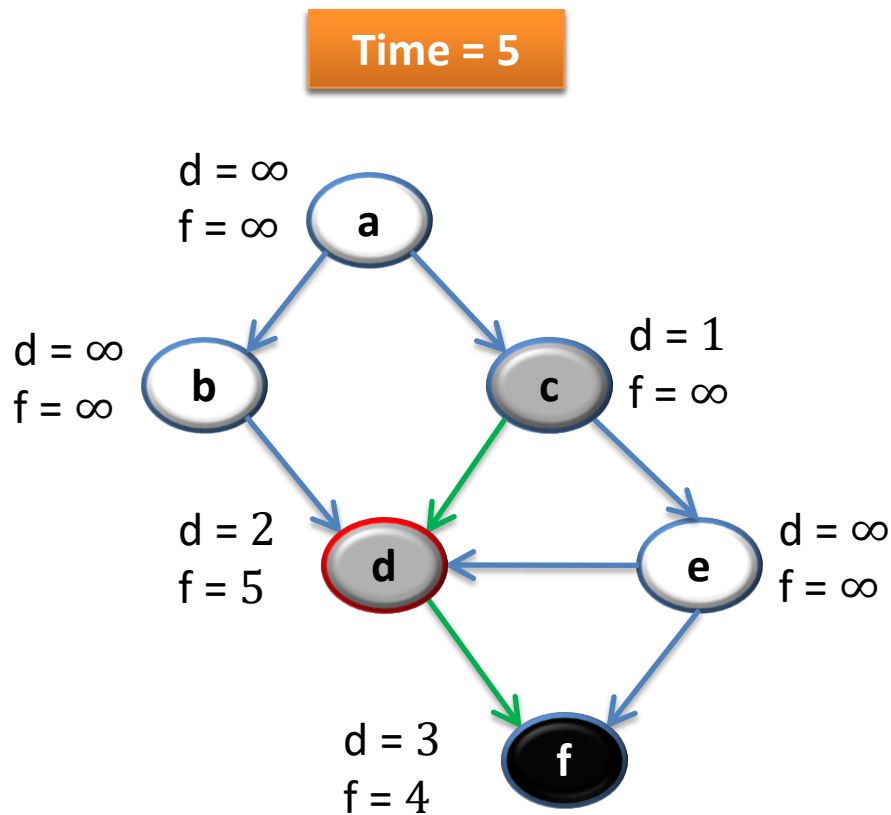
2) as each vertex is finished, insert it onto the **front** of a linked list

Next we discover the vertex **f**

**f** is done, move back to **d**

# Topological sort

1) Call  $\text{DFS}(\mathbf{G})$  to compute the finishing times  $\mathbf{f}[\mathbf{v}]$



Let's say we start the DFS from the vertex **c**

Next we discover the vertex **d**

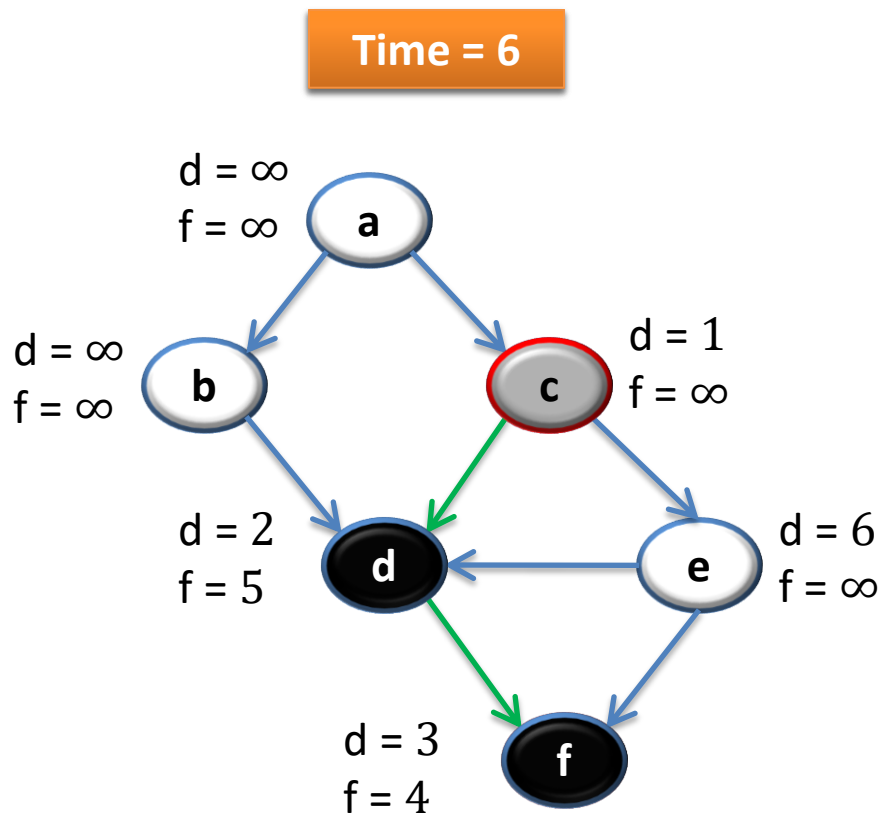
Next we discover the vertex **f**

**f** is done, move back to **d**

**d** is done, move back to **c**

# Topological sort

1) Call DFS(**G**) to compute the finishing times **f[v]**



Let's say we start the DFS from the vertex **c**

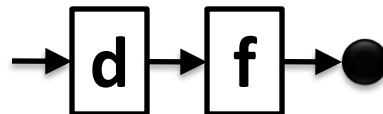
Next we discover the vertex **d**

Next we discover the vertex **f**

**f** is done, move back to **d**

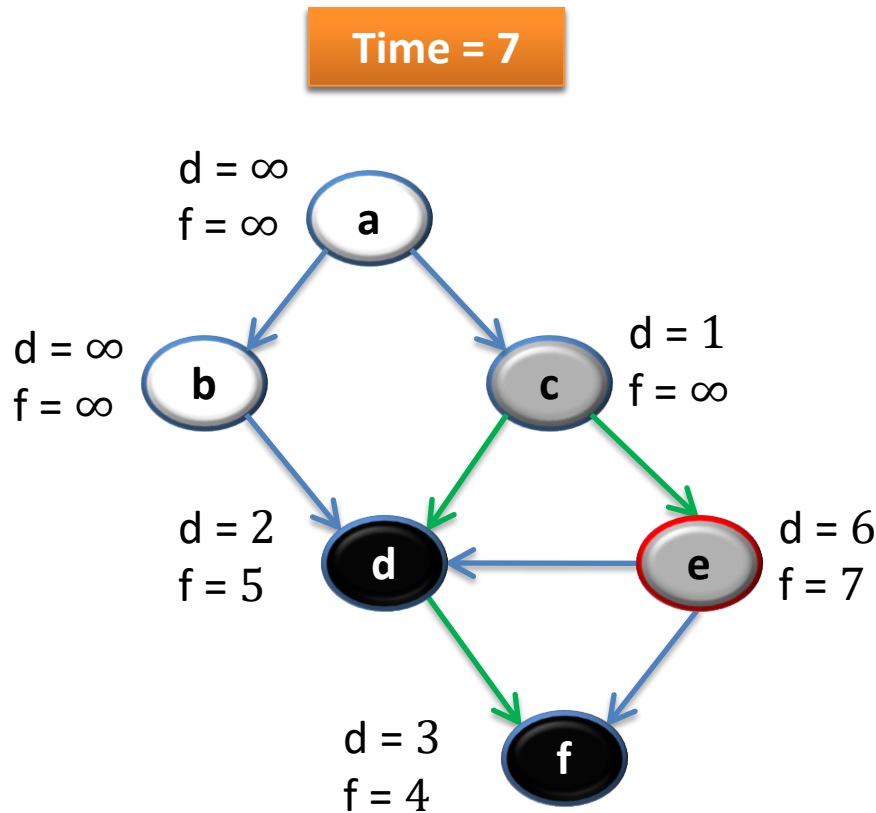
**d** is done, move back to **c**

Next we discover the vertex **e**



# Topological sort

1) Call DFS(**G**) to compute the finishing times **f[v]**



Let's say we start the DFS from the vertex **c**

Next we discover the vertex **d**

Both edges from **e** are **cross edges**

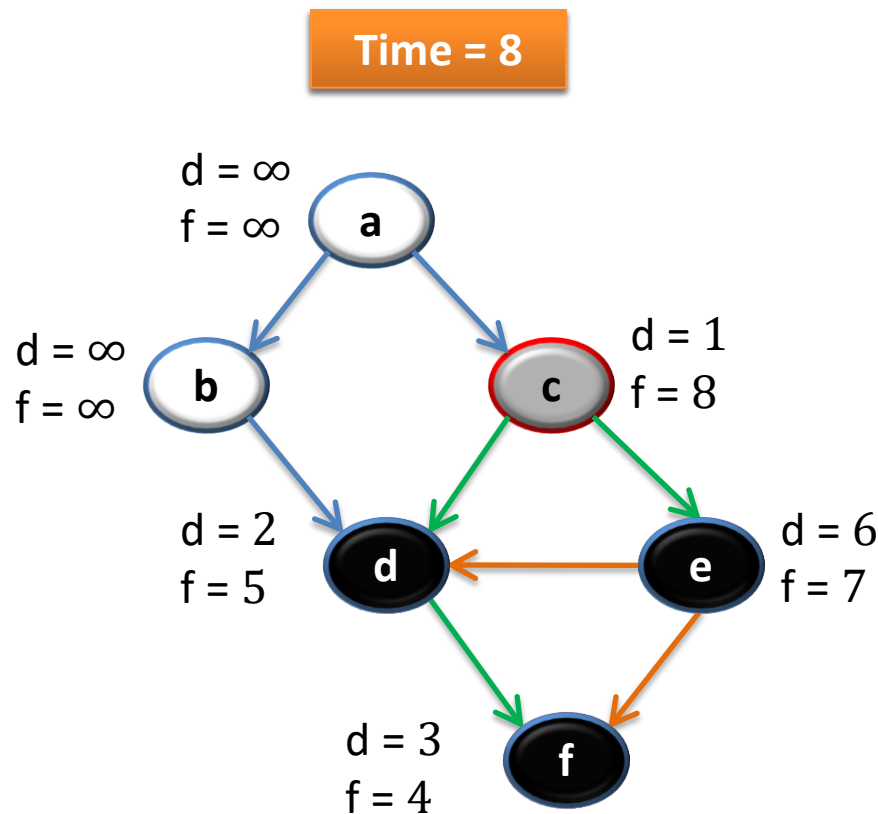
**d** is done, move back to **c**

Next we discover the vertex **e**

**e** is done, move back to **c**

# Topological sort

1) Call  $\text{DFS}(\mathbf{G})$  to compute the finishing times  $\mathbf{f}[\mathbf{v}]$



Let's say we start the DFS from the vertex **c**

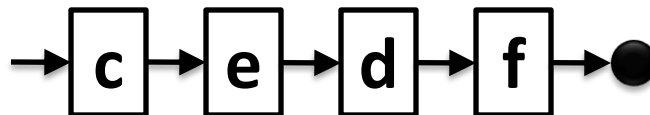
Just a note: If there was **(c,f)** edge in the graph, it would be classified as a **forward edge** (in this particular DFS run)

**d** is done, move back to **c**

Next we discover the vertex **e**

**e** is done, move back to **c**

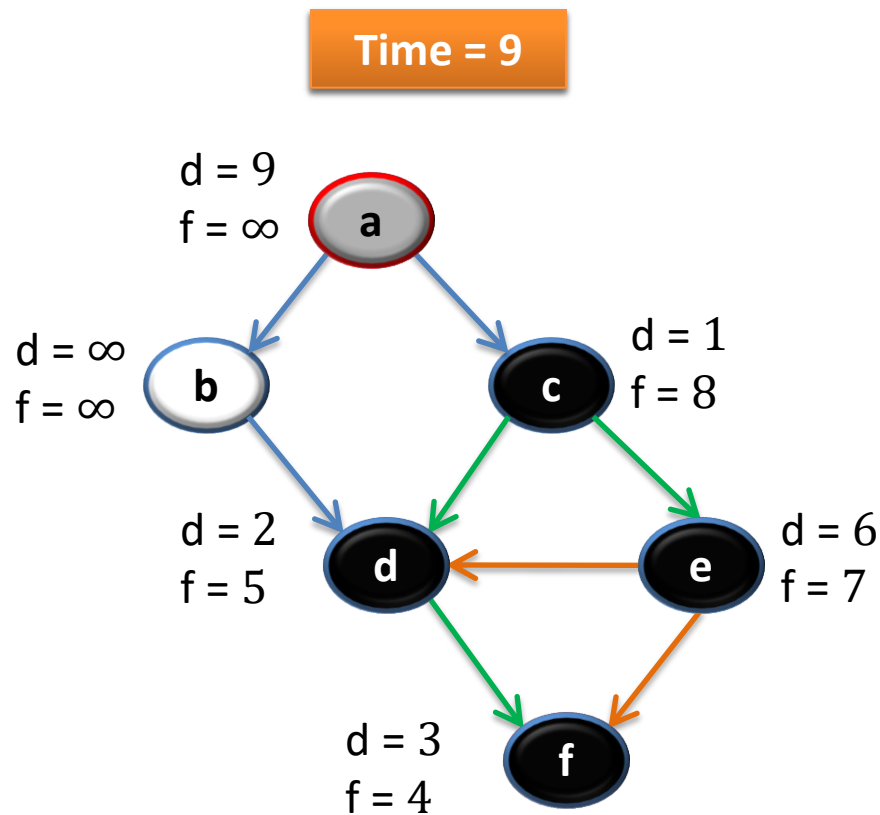
**c** is done as well





# Topological sort

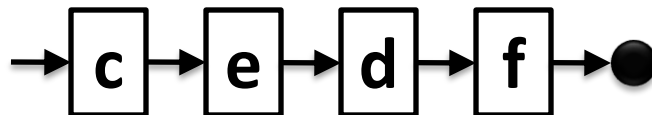
1) Call DFS(**G**) to compute the finishing times **f[v]**



Let's now call DFS visit from the vertex **a**

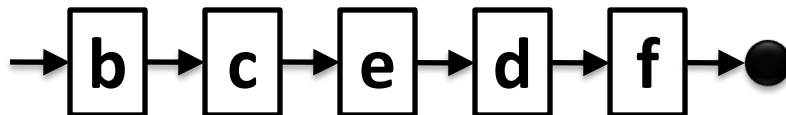
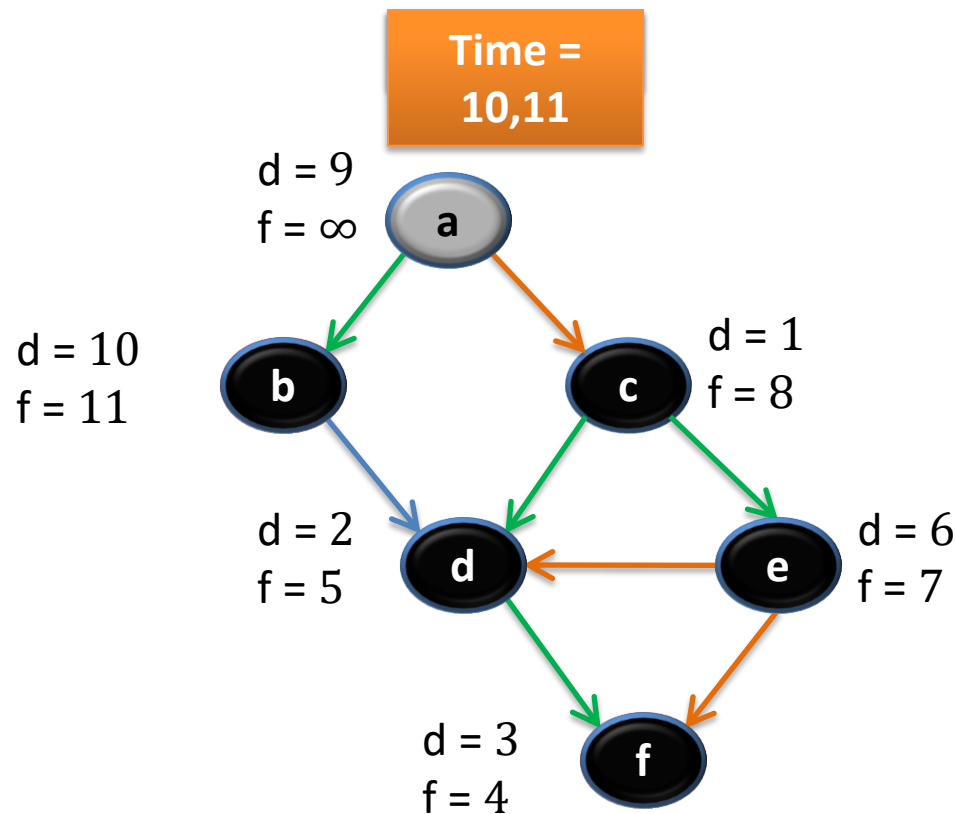
Next we discover the vertex **c**, but **c** was already processed  
 $\Rightarrow$  (**a,c**) is a cross edge

Next we discover the vertex **b**



# Topological sort

1) Call  $\text{DFS}(\mathbf{G})$  to compute the finishing times  $\mathbf{f}[\mathbf{v}]$



Let's now call DFS visit from the vertex **a**

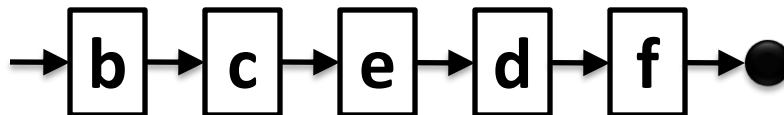
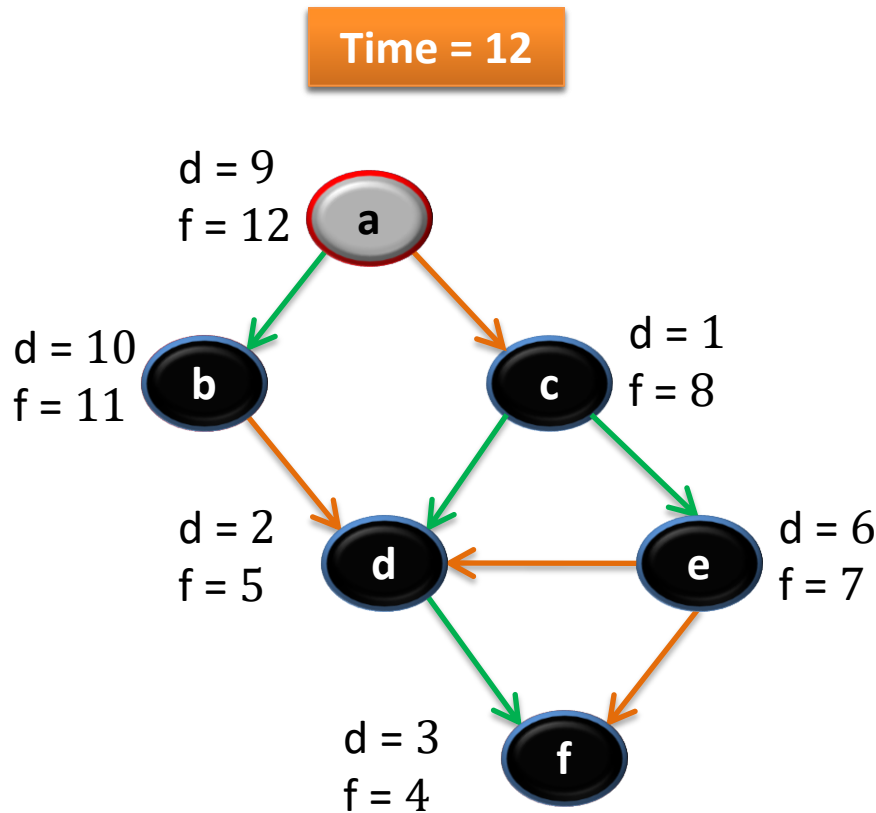
Next we discover the vertex **c**, but **c** was already processed  $\Rightarrow$  (**a**,**c**) is a cross edge

Next we discover the vertex **b**

**b** is done as (**b**,**d**) is a cross edge  $\Rightarrow$  now move back to **c**

# Topological sort

1) Call  $\text{DFS}(\mathbf{G})$  to compute the finishing times  $\mathbf{f}[\mathbf{v}]$



Let's now call DFS visit from the vertex **a**

Next we discover the vertex **c**, but **c** was already processed  $\Rightarrow$  (**a**,**c**) is a cross edge

Next we discover the vertex **b**

**b** is done as (**b**,**d**) is a cross edge  $\Rightarrow$  now move back to **c**

**a** is done as well

# Topological sort

1) Call DFS(**G**) to compute the finishing times **f[v]**

**WE HAVE THE RESULT!**

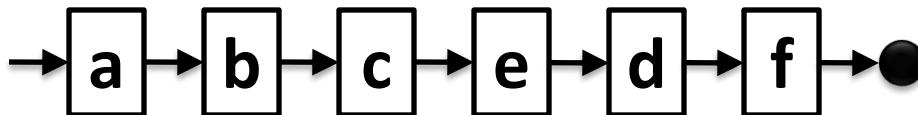
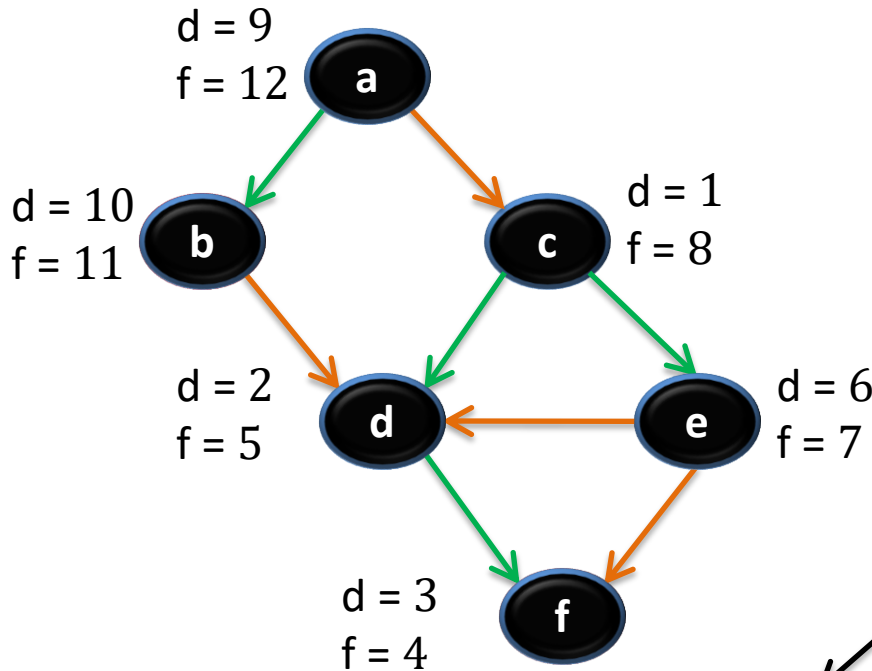
3) return the linked list of vertices

=> (**a**,**c**) is a cross edge

Next we discover the vertex **b**

**b** is done as (**b**,**d**) is a cross edge => now move back to **c**

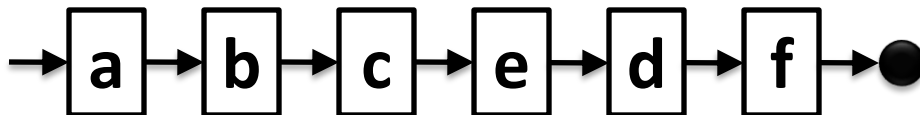
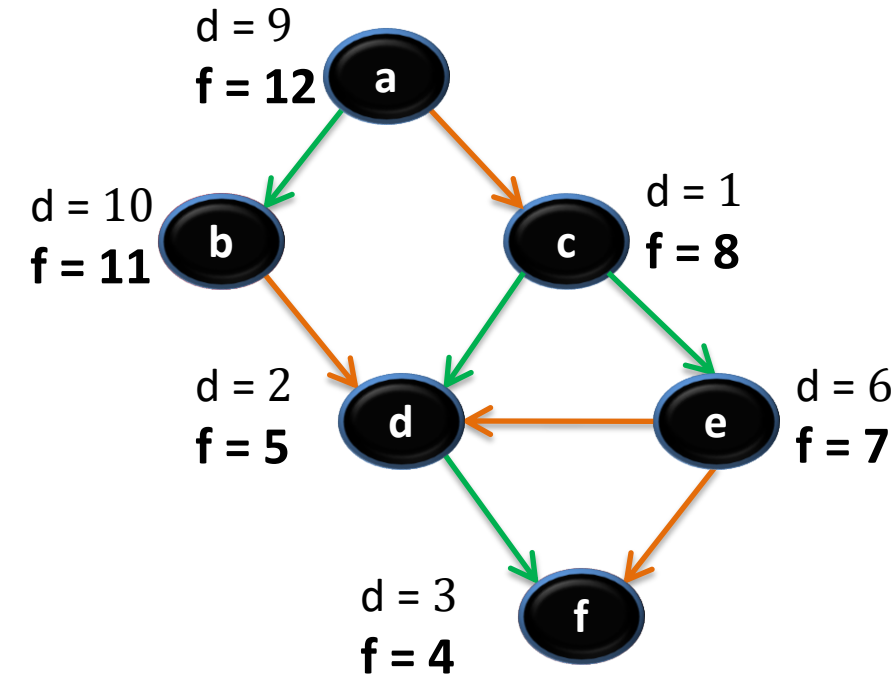
**a** is done as well



# Topological sort

The linked list is sorted in **decreasing** order of finishing times  $f[]$

Try yourself with different vertex order for DFS visit



# Time complexity of TS(G)

- Running time of topological sort:

$$\Theta(V + E)$$

Why? Depth first search takes  $\Theta(V + E)$  time in the worst case, and inserting into the front of a linked list takes  $\Theta(1)$  time

# Proof of correctness

- **Theorem:**  $\text{TOPOLOGICAL-SORT}(\mathbf{G})$  produces a topological sort of a DAG  $\mathbf{G}$
- The  $\text{TOPOLOGICAL-SORT}(\mathbf{G})$  algorithm does a DFS on the DAG  $\mathbf{G}$ , and it lists the nodes of  $\mathbf{G}$  in order of decreasing finish times  $\mathbf{f}[]$
- We must show that this list satisfies the topological sort property, namely, that for every edge  $(\mathbf{u}, \mathbf{v})$  of  $\mathbf{G}$ ,  $\mathbf{u}$  appears before  $\mathbf{v}$  in the list
- **Claim:** For every edge  $(\mathbf{u}, \mathbf{v})$  of  $\mathbf{G}$ :  $\mathbf{f}[\mathbf{v}] < \mathbf{f}[\mathbf{u}]$  in DFS

# Proof of correctness

“For every edge  $(u,v)$  of  $G$ ,  $f[v] < f[u]$  in this DFS”

- The DFS classifies  $(u,v)$  as a **tree edge**, a **forward edge** or a **cross-edge** (it cannot be a back-edge since  $G$  has no cycles):
  - i. If  $(u,v)$  is a **tree** or a **forward edge**  $\Rightarrow v$  is a descendant of  $u \Rightarrow f[v] < f[u]$
  - ii. If  $(u,v)$  is a **cross-edge**  $\Rightarrow v$  will be discovered and finished before  $u$  hence  $f[v] < f[u]$

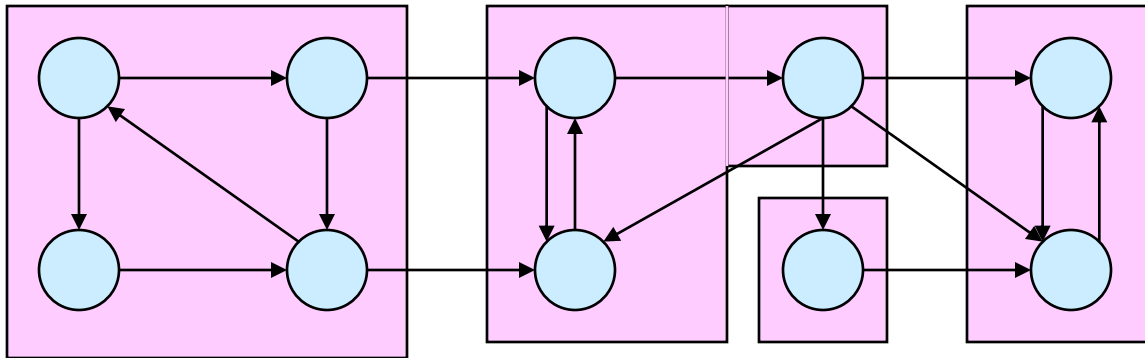


# Proof of correctness

- TOPOLOGICAL-SORT( $G$ ) lists the nodes of  $G$  from highest to lowest finishing times
- By the **Claim**, for every edge  $(u,v)$  of  $G$ :  
$$f[v] < f[u]$$
  
 $\Rightarrow u$  will appear before  $v$  in the sorted list

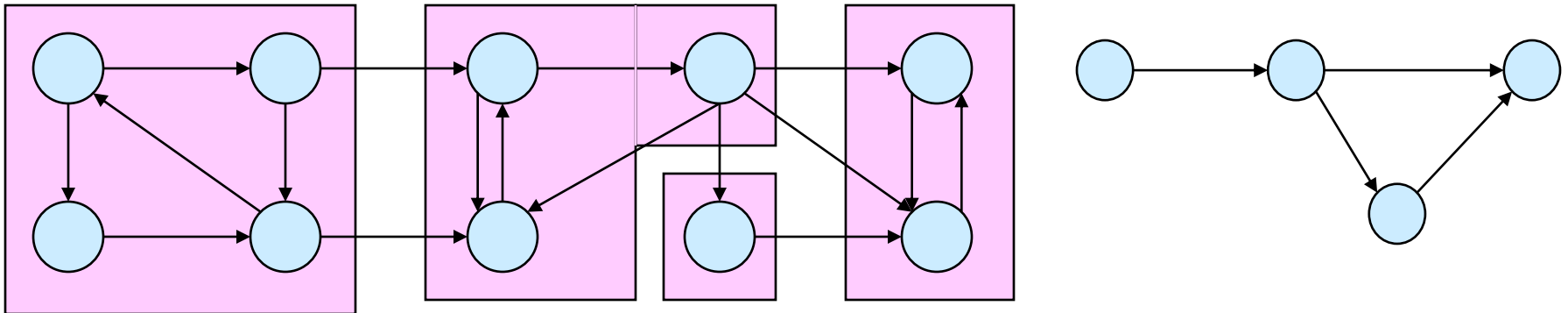
# Strongly Connected Components

- $G$  is strongly connected if every pair  $(u, v)$  of vertices in  $G$  is reachable from one another.
- A **strongly connected component (SCC)** of  $G$  is a maximal set of vertices  $C \subseteq V$  such that for all  $u, v \in C$ , both  $u \rightsquigarrow v$  and  $v \rightsquigarrow u$  exist.



# Component Graph

- $G^{\text{SCC}} = (V^{\text{SCC}}, E^{\text{SCC}})$ .
- $V^{\text{SCC}}$  has one vertex for each SCC in  $G$ .
- $E^{\text{SCC}}$  has an edge if there's an edge between the corresponding SCC's in  $G$ .
- $G^{\text{SCC}}$  for the example considered:



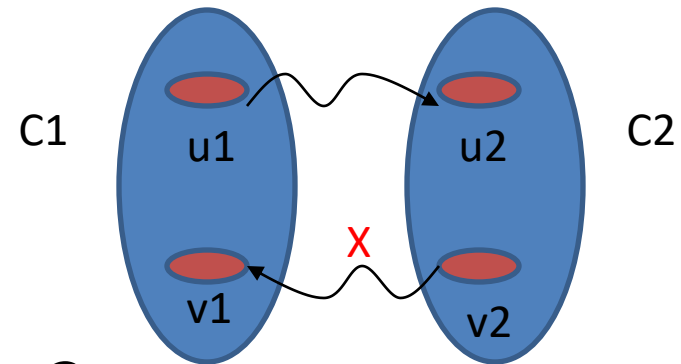
# $G^{\text{SCC}}$ is a DAG

## Lemma

Let  $C$  and  $C'$  be distinct SCC's in  $G$ , let  $u1, v1 \in C1$  and  $u2, v2 \in C2$ , and suppose there is a path  $u1 \rightsquigarrow u2$  in  $G$ . Then there cannot also be a path  $v2 \rightsquigarrow v1$  in  $G$ .

## Proof:

- Suppose there is a path  $v2 \rightsquigarrow v1$  in  $G$ .
- Then there are paths  $v1 \rightsquigarrow u1 \rightsquigarrow u2 \rightsquigarrow v2$  in  $G$ .
- Therefore,  $v1$  and  $v2$  are reachable from each other, so they are not in separate SCC's.



# Transpose of a Directed Graph

- $G^T = \text{transpose}$  of directed  $G$ .
  - $G^T = (V, E^T)$ ,  $E^T = \{(u, v) : (v, u) \in E\}$ .
  - $G^T$  is  $G$  with all edges reversed.
- Can create  $G^T$  in  $\Theta(V + E)$  time if using adjacency lists.
- $G$  and  $G^T$  have the *same* SCC's. ( $u$  and  $v$  are reachable from each other in  $G$  if and only if reachable from each other in  $G^T$ .)

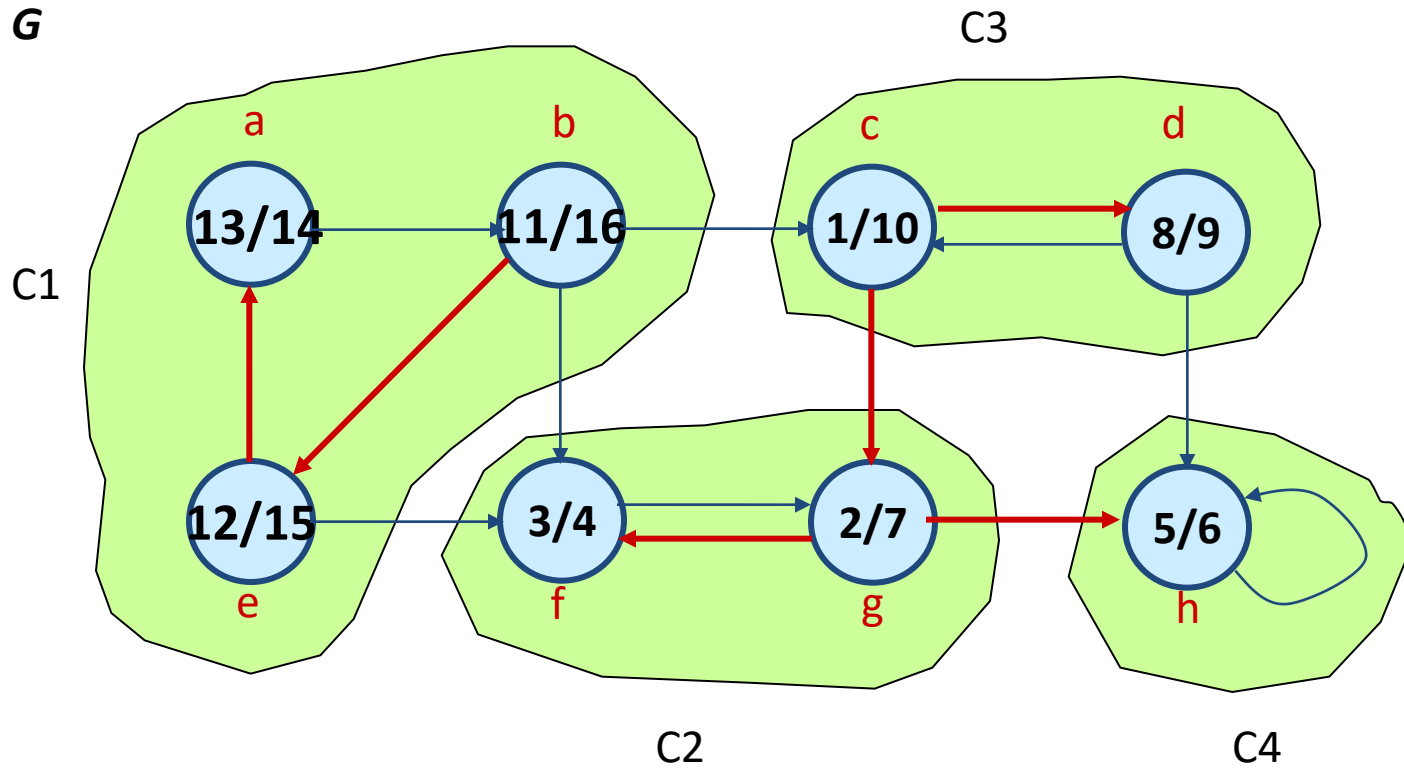
# Algorithm to determine SCCs

## SCC( $G$ )

1. call DFS( $G$ ) to compute finishing times  $f[u]$  for all  $u$
2. compute  $G^T$
3. call DFS( $G^T$ ), but in the main loop, consider vertices in order of decreasing  $f[u]$  (as computed in first DFS)
4. output the vertices in each tree of the depth-first forest formed in second DFS as a separate SCC

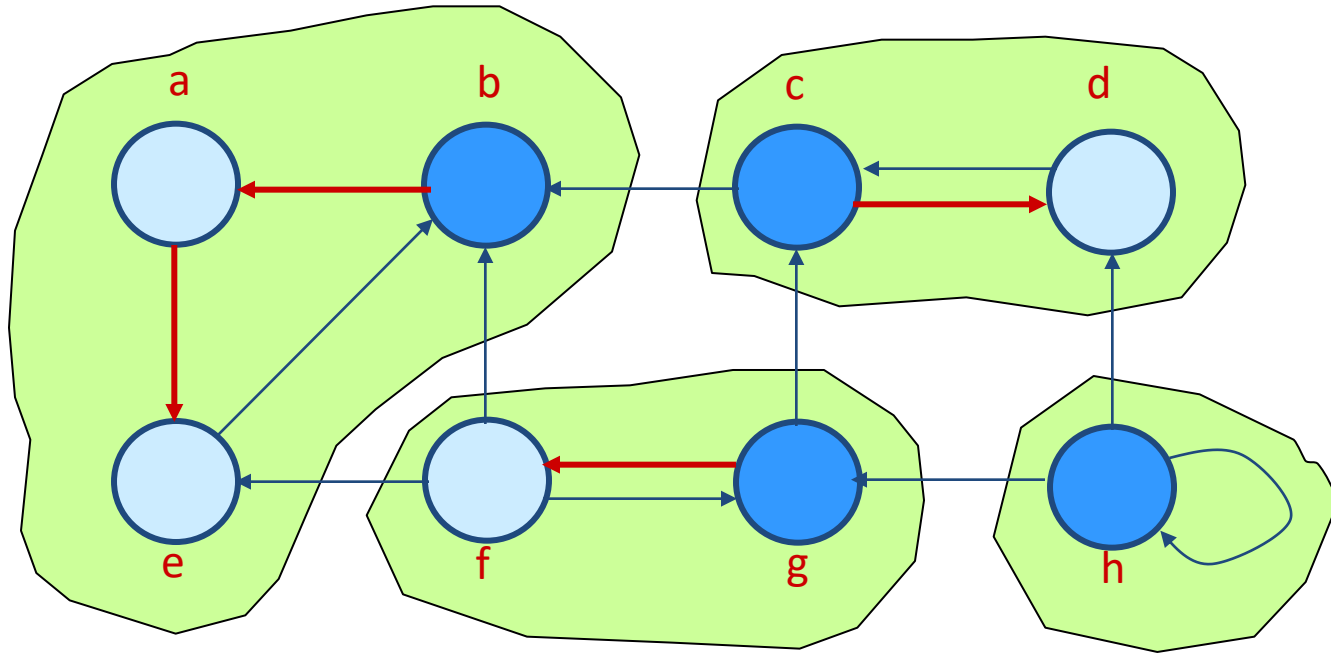
**Time:**  $\Theta(V + E)$ .

# Example



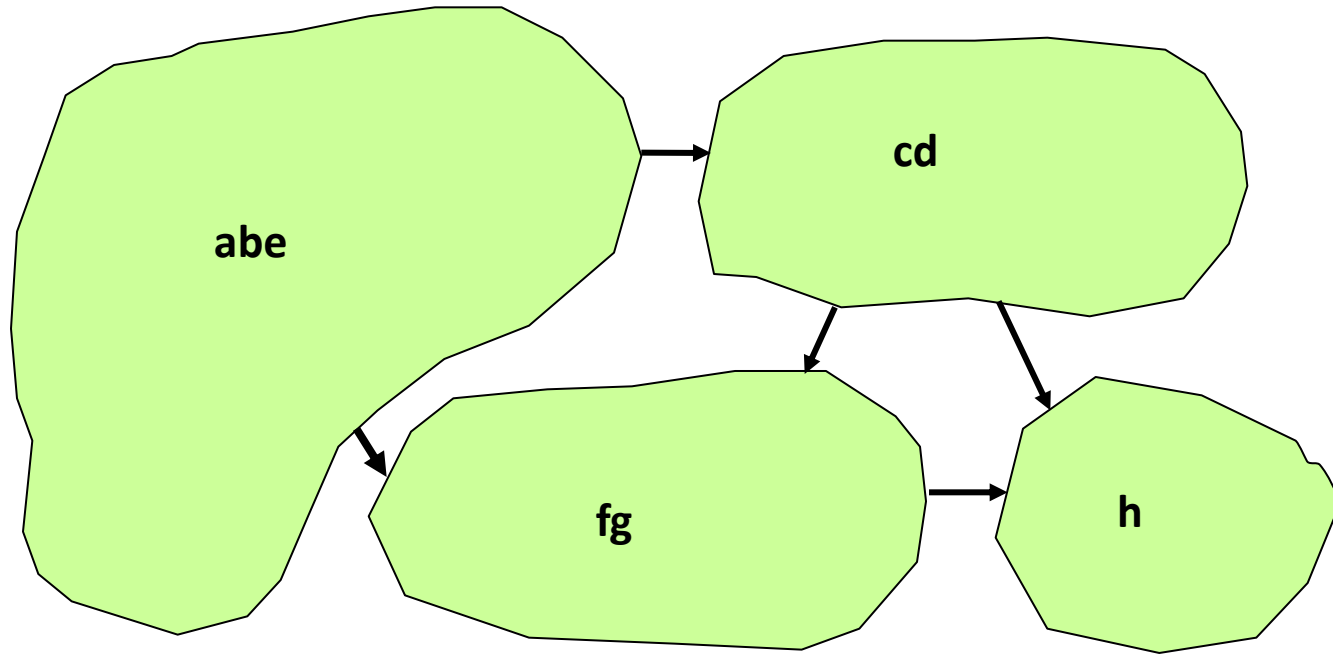
# Example

$G^T$





# Example



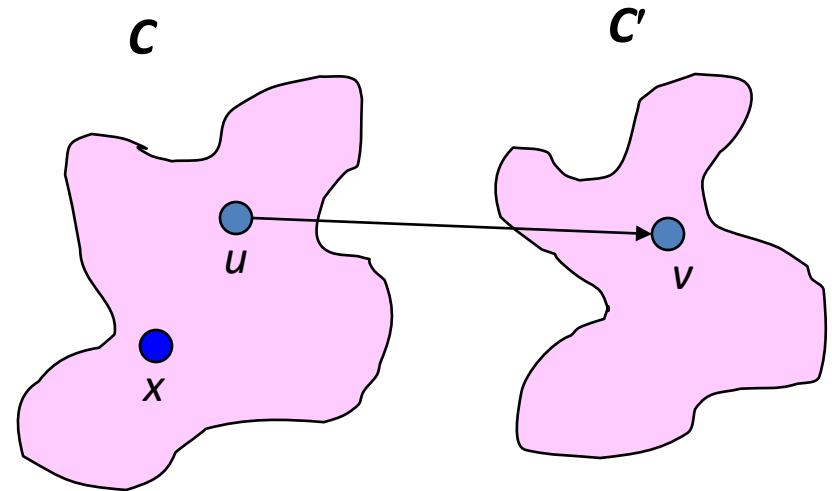
# SCCs and DFS finishing times

## Lemma 22.14

Let  $C$  and  $C'$  be distinct SCC's in  $G = (V, E)$ . Suppose there is an edge  $(u, v) \in E$  such that  $u \in C$  and  $v \in C'$ . Then  $f(C) > f(C')$ .

## Proof:

- Case 1:  $d(C) < d(C')$ 
  - Let  $x$  be the first vertex discovered in  $C$ .
  - At time  $d[x]$ , all vertices in  $C$  and  $C'$  are white. Thus, there exist paths of white vertices from  $x$  to all vertices in  $C$  and  $C'$ .
  - By the white-path theorem, all vertices in  $C$  and  $C'$  are descendants of  $x$  in depth-first tree.
  - By the parenthesis theorem,  $f[x] = f(C) > f(C')$ .



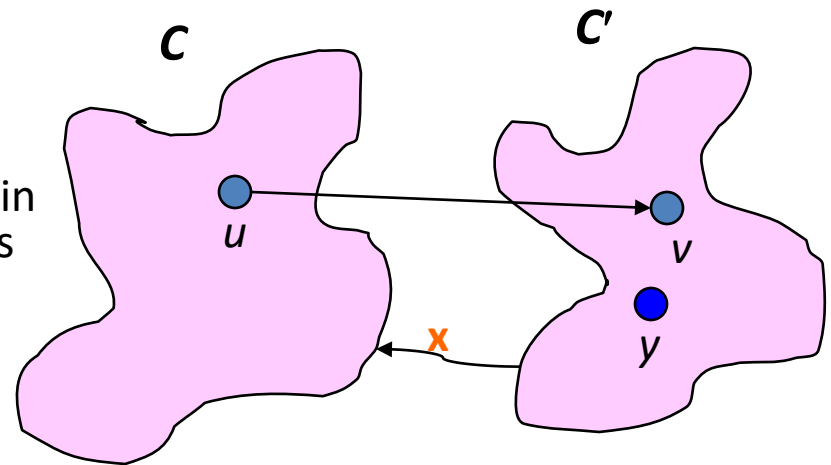
# SCCs and DFS finishing times

## Lemma 22.14

Let  $C$  and  $C'$  be distinct SCC's in  $G = (V, E)$ . Suppose there is an edge  $(u, v) \in E$  such that  $u \in C$  and  $v \in C'$ . Then  $f(C) > f(C')$ .

## Proof:

- **Case 2:  $d(C) > d(C')$** 
  - Let  $y$  be the first vertex discovered in  $C'$ .
  - At time  $d[y]$ , all vertices in  $C'$  are white and there is a white path from  $y$  to each vertex in  $C' \Rightarrow$  all vertices in  $C'$  become descendants of  $y$ . Again,  $f[y] = f(C')$ .
  - At time  $d[y]$ , all vertices in  $C$  are also white.
  - By earlier lemma, since there is an edge  $(u, v)$ , we cannot have a path from  $C'$  to  $C$ .
  - So no vertex in  $C$  is reachable from  $y$ .
  - Therefore, at time  $f[y]$ , all vertices in  $C$  are still white.
  - Therefore, for all  $w \in C$ ,  $f[w] > f[y]$ , which implies that  $f(C) > f(C')$ .



# Correctness of SCC

- When we do the second DFS, on  $G^T$ , start with SCC  $C$  such that  $f(C)$  is maximum.
  - The second DFS starts from some  $x \in C$ , and it visits all vertices in  $C$ .
  - Corollary 22.15 says that since  $f(C) > f(C')$  for all  $C \neq C'$ , there are no edges from  $C$  to  $C'$  in  $G^T$ .
  - Therefore, DFS will visit *only* vertices in  $C$ .
  - Which means that the depth-first tree rooted at  $x$  contains *exactly* the vertices of  $C$ .

# Correctness of SCC

- The next root chosen in the second DFS is in SCC  $C'$  such that  $f(C')$  is maximum over all SCC's other than  $C$ .
  - DFS visits all vertices in  $C'$ , but the only edges out of  $C'$  go to  $C$ , *which we've already visited*.
  - Therefore, the only tree edges will be to vertices in  $C'$ .
- We can continue the process.
- Each time we choose a root for the second DFS, it can reach only
  - vertices in its SCC—get tree edges to these,
  - vertices in SCC's *already visited* in second DFS—get *no* tree edges to these.