# **CS5201: Advanced Artificial Intelligence**

# **Decision Trees**



#### **Arijit Mondal**

Dept of Computer Science and Engineering Indian Institute of Technology Patna www.iitp.ac.in/~arijit/

#### Learning

- An agent is learning if it improves its performance on future tasks after making observation about the world
- Why would an agent learn?
  - Designers cannot anticipate all possible situations
  - Designers cannot anticipate all changes over time
  - Sometime, people have no idea how to program a solution
- Inductive learning Learning a general function or rule from specific input-output pairs
- Analytical / deductive learning Going from a known general rule to a new rule that is logically entailed

### Paradigms of learning

- These are based on the types of feedback
- Supervised learning
  - Both inputs and outputs are given
  - The outputs are typically provided by a friendly teacher
- Reinforcement learning
  - The agent receives some evaluation of its actions (such as a fine for stealing bananas), but is not told the correct action (such as how to buy bananas)
- Unsupervised learning
  - The agent can learn relationships among its percepts, and the trend with time

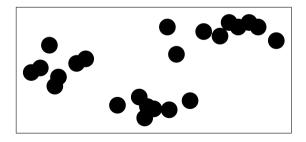
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#### **Supervised learning**

- A set of labeled examples  $\langle x_1, x_2, \dots, x_n, y \rangle$ 
  - x<sub>i</sub> are input variables
  - y output variable
- Need to find a function  $f: X_1 \times X_2 \times ... X_n \to Y$
- Goal is to minimize error/loss function
  - Like to minimize over all dataset
  - We have limited dataset

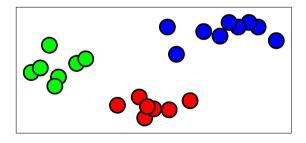
#### **Unsupervised learning**

- Learns useful properties of the structure of data set
- Unlabeled data
  - Tries to learn entire probability distribution that generated the dataset
  - Examples
    - Clustering, dimensionality reduction



#### **Unsupervised learning**

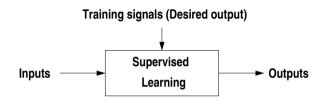
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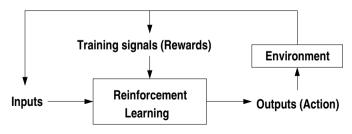


### **Reinforcement learning**

- Set of actions that the learner will make in order to maximize its profit
- Action may not only affect the next situation but also subsequent situation
  - Trial and error search
  - Delayed reward
- A learning agent is interacting with environment to achieve a goal
- Agent needs to have idea of state so that it can take right action
- Three key aspects observation, action, goal

#### Reinforcement vs supervised learning





#### **Decision trees**

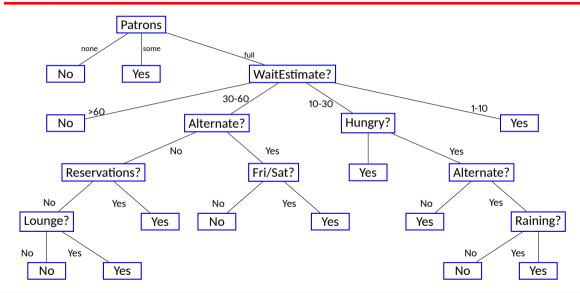
- A decision tree takes as input an object or situation described by a set of properties, and outputs a yes/no "decision"
- A list of variables which potentially affect the decision on whether to wait for a table at a restaurant.
  - Alternate: whether there is a suitable alternative restaurant
  - Lounge: whether the restaurant has a lounge for waiting customers
  - Fri/Sat: true on Fridays and Saturdays
  - Hungry: whether we are hungry
  - Patrons: how many people are in it (None, Some, Full)
  - Price: the restaurant's rating (\*, \*\*, \*\*\*)
  - Raining: whether it is raining outside
  - Reservation: whether we made a reservation
  - Type: the kind of restaurant (Indian, Chinese, Thai, Fastfood)
  - WaitEstimate: 0-10 mins, 10-30, 30-60, >60.

#### **Observations**

Example	Input Attributes									Goal	
	Alt	Bar	Fri	Hun	Pat	Price	Rain	Res	Type	Est	WillWait
$\mathbf{x}_1$	Yes	No	No	Yes	Some	\$\$\$	No	Yes	French	0–10	$_{1} = Yes$
$\mathbf{x}_2$	Yes	No	No	Yes	Full	\$	No	No	Thai	30-60	$_{2}=No$
$\mathbf{x}_3$	No	Yes	No	No	Some	\$	No	No	Burger	0-10	$_{3} = Yes$
$\mathbf{x}_4$	Yes	No	Yes	Yes	Full	\$	Yes	No	Thai	10-30	$_{4} = Yes$
$\mathbf{x}_5$	Yes	No	Yes	No	Full	\$\$\$	No	Yes	French	60	$_{5}=No$
$\mathbf{x}_6$	No	Yes	No	Yes	Some	\$\$	Yes	Yes	Italian	0-10	$_{6} = Yes$
$\mathbf{x}_7$	No	Yes	No	No	None	\$	Yes	No	Burger	0-10	$_{7} = No$
<b>x</b> <sub>8</sub>	No	No	No	Yes	Some	\$\$	Yes	Yes	Thai	0-10	$_{8} = Yes$
<b>X</b> 9	No	Yes	Yes	No	Full	\$	Yes	No	Burger	60	$_{9}=No$
$\mathbf{x}_{10}$	Yes	Yes	Yes	Yes	Full	\$\$\$	No	Yes	Italian	10-30	$_{10} = No$
$\mathbf{x}_{11}$	No	No	No	No	None	\$	No	No	Thai	0-10	$_{11} = No$
$\mathbf{x}_{12}$	Yes	Yes	Yes	Yes	Full	\$	No	No	Burger	30–60	$_{12} = Yes$

Image source: AI by Russel & Norvig

### Sample decision tree



#### **Decision Tree Learning**

- Aim: find a small tree consistent with the training examples
- Idea: (recursively) choose "most significant" attribute as root of (sub) tree
- 1. pick an attribute to split at a non-terminal node
- 2. split examples into groups based on attribute value
- 3. for each group:
  - A. if no examples return majority from parent
  - B. else if all examples in same class return class
  - C. else loop to step 1

Idea: A good attribute splits the examples into subsets that are (ideally) "all positive" or "all negative"

Patrons?

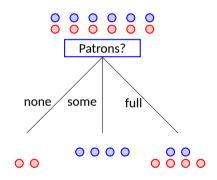
Type?

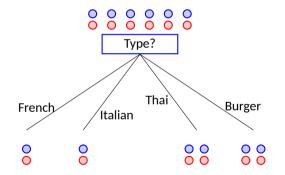
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  - In the first bucket we are sure that the ball will be red
  - In the second bucket we know with 75% certainty that the ball will be red
  - In the third bucket we know with 50% certainty that the ball will be red







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  - In the third bucket we know with 50% certainty that the ball will be red
- Bucket-1 gives us the most amount of knowledge about the color of the ball
- Entropy is the opposite of knowledge
  - Bucket-1 has the least amount of entropy and Bucket-3 has the highest entropy

### **Entropy and Probability**







- How many distinct arrangements of the balls are possible?
  - For the first bucket we have only one arrangement: RRRR
  - For the second bucket we have four arrangements: RRRB, RRBR, RBRR, BRRR
  - For the third bucket we have six arrangements: RRBB, RBBR, BBRR, RBRB, BRBR, BRRB
- The probability of finding a specific arrangement in four draws of balls is less for the third bucket because the number of possible arrangements is larger

#### A game to understand entropy

- We are given, again, the three buckets to choose. The rules go as follows:
  - We choose one of the three buckets.
  - We are shown the balls in the bucket, in some order. Then, the balls go back in the bucket.
  - We then pick one ball out of the bucket, at a time, record the color, and return the ball back to the bucket.
  - If the colors recorded make the same sequence than the sequence of balls that we were shown at the beginning, then we win. If not, then we lose.
- Probability for win
  - Bucket-1:
  - Bucket-2:
  - Bucket-3:

### Issues with probability

- Products of many probability terms will make the metric very small and create precision problems
- Instead, we can take the logarithm of P(win), which will convert the product into a sum. Since probability terms are fractional, the logarithm will be negative and hence we take its negation
- For example, for Bucket-2 we compute:

$$-\log_2(0.75) - \log_2(0.75) - \log_2(0.75) - \log_2(0.25) = 3.245$$

• Finally we take the average in order to normalize:

$$\tfrac{1}{4}(-\log_2(0.75) - \log_2(0.75) - \log_2(0.75) - \log_2(0.25)) = 0.81125$$

• Entropy = 
$$\frac{-m}{m+n} \log_2 \left(\frac{m}{m+n}\right) + \frac{-n}{m+n} \log_2 \left(\frac{n}{m+n}\right)$$

• Bucket-1:

• Entropy = 
$$\frac{-m}{m+n} \log_2 \left(\frac{m}{m+n}\right) + \frac{-n}{m+n} \log_2 \left(\frac{n}{m+n}\right)$$

• Bucket-1: 
$$\frac{-4}{4+0}\log_2\left(\frac{4}{4+0}\right) + \frac{-0}{4+0}\log_2\left(\frac{0}{4+0}\right) = 0 + 0 = 0$$

• Bucket-2:

• Entropy = 
$$\frac{-m}{m+n} \log_2 \left(\frac{m}{m+n}\right) + \frac{-n}{m+n} \log_2 \left(\frac{n}{m+n}\right)$$

• Bucket-1: 
$$\frac{-4}{4+0}\log_2\left(\frac{4}{4+0}\right) + \frac{-0}{4+0}\log_2\left(\frac{0}{4+0}\right) = 0 + 0 = 0$$
  
• Bucket-2:  $\frac{-3}{3+1}\log_2\left(\frac{3}{3+1}\right) + \frac{-1}{3+1}\log_2\left(\frac{1}{3+1}\right) = 0.81125$ 

• Bucket-2: 
$$\frac{-3}{3+1}\log_2\left(\frac{3}{3+1}\right) + \frac{-1}{3+1}\log_2\left(\frac{1}{3+1}\right) = 0.81125$$

**Bucket-3:** 

• Entropy = 
$$\frac{-m}{m+n} \log_2 \left(\frac{m}{m+n}\right) + \frac{-n}{m+n} \log_2 \left(\frac{n}{m+n}\right)$$

• Bucket-1: 
$$\frac{-4}{4+0}\log_2\left(\frac{4}{4+0}\right) + \frac{-0}{4+0}\log_2\left(\frac{0}{4+0}\right) = 0 + 0 = 0$$

• Bucket-2: 
$$\frac{-3}{3+1}\log_2\left(\frac{3}{3+1}\right) + \frac{-1}{3+1}\log_2\left(\frac{1}{3+1}\right) = 0.81125$$

• Bucket-2: 
$$\frac{-3}{3+1}\log_2\left(\frac{3}{3+1}\right) + \frac{-1}{3+1}\log_2\left(\frac{1}{3+1}\right) = 0.81125$$
• Bucket-3:  $\frac{-2}{2+2}\log_2\left(\frac{2}{2+2}\right) + \frac{-2}{2+2}\log_2\left(\frac{2}{2+2}\right) = \frac{1}{2} + \frac{1}{2} = 1$ 

• Information content (Entropy):  $I(P(v_1), \dots, P(v_n)) = \sum_{i=1}^n -P(v_i) \log_2 P(v_i)$ 

- Information content (Entropy):  $I(P(v_1), \dots, P(v_n)) = \sum_{j=1} -P(v_j) \log_2 P(v_j)$
- For a training set containing p positive examples and n negative examples:

$$I\left(\frac{p}{p+n}, \frac{n}{p+n}\right) = -\frac{p}{p+n}\log_2\frac{p}{p+n} - \frac{n}{p+n}\log_2\frac{n}{p+n}$$

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• A chosen attribute A divides the training set E into subsets  $E_1, \ldots, E_v$  according to their values for  $A_i$  where A has v distinct values

$$\mathbf{R}(A) = \sum_{i=1}^{V} \frac{p_i + n_i}{p + n} I\left(\frac{p_i}{p_i + n_i}, \frac{n_i}{p_i + n_i}\right)$$

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$$\mathbf{R}(\mathsf{A}) = \sum_{i=1}^{\mathsf{v}} \frac{p_i + n_i}{p + n} \mathsf{I}\left(\frac{p_i}{p_i + n_i}, \frac{n_i}{p_i + n_i}\right)$$

- Information gain (IG) or reduction in entropy  $IG(A) = I\left(\frac{p}{p+n}, \frac{n}{p+n}\right) R(A)$
- Choose the attribute with the largest IG

## Information gain: example

• For the training set p=n=6,  $I(\frac{6}{12},\frac{6}{12})=1$  bit

$$IG(Patrons) =$$

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• For the training set p=n=6,  $I(\frac{6}{12},\frac{6}{12})=1$  bit

$$\mathit{IG(Patrons)} = 1 - [\frac{2}{12}\mathit{I}(0,1) + \frac{4}{12}\mathit{I}(1,0) + \frac{6}{12}\mathit{I}(\frac{2}{6},\frac{4}{6})] = 0.0541$$

$$IG(Type) =$$

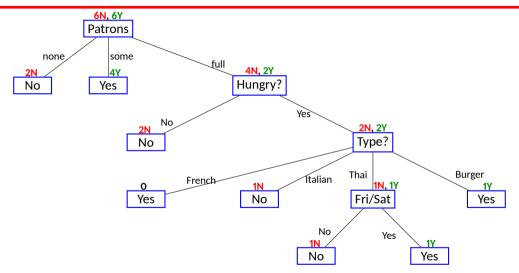
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$$\begin{split} & \mathit{IG(Patrons)} = 1 - [\frac{2}{12}\mathit{I}(0,1) + \frac{4}{12}\mathit{I}(1,0) + \frac{6}{12}\mathit{I}(\frac{2}{6},\frac{4}{6})] = 0.0541 \\ & \mathit{IG(Type)} = 1 - [\frac{2}{12}\mathit{I}(\frac{1}{2},\frac{1}{2}) + \frac{2}{12}\mathit{I}(\frac{1}{2},\frac{1}{2}) + \frac{4}{12}\mathit{I}(\frac{2}{4},\frac{2}{4}) + \frac{4}{12}\mathit{I}(\frac{2}{4},\frac{2}{4})] = 0 \end{split}$$

Patrons will be selected

#### Final decision tree



### A good tree

- Not too small: need to handle important but possibly subtle distinctions in data
- Not too big:
  - Computational efficiency (avoid redundant, spurious attributes)
  - Avoid over-fitting training examples

# **Exercise problem**

Day	Outlook	Temperature	Humidity	Wind	PlayTennis
D1	Sunny	Hot	High	Weak	No
D2	Sunny	Hot	High	Strong	No
D3	Overcast	Hot	High	Weak	Yes
D4	Rain	Mild	High	Weak	Yes
D5	Rain	Cool	Normal	Weak	Yes
D6	Rain	Cool	Normal	Strong	No
D7	Overcast	Cool	Normal	Strong	Yes
D8	Sunny	Mild	High	Weak	No
D9	Sunny	Cool	Normal	Weak	Yes
D10	Rain	Mild	Normal	Weak	Yes
D11	Sunny	Mild	Normal	Strong	Yes
D12	Overcast	Mild	High	Strong	Yes
D13	Overcast	Hot	Normal	Weak	Yes
D14	Rain	Mild	High	Strong	No

Thank you!