# CS514: Design and Analysis of Algorithms 

## Intractability



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- 2-SAT vs 3-SAT
- 2-SAT: $\left(x_{1} \vee \neg x_{2}\right) \wedge\left(\neg x_{1} \vee x_{3}\right) \wedge\left(x_{2} \vee \neg x_{3}\right)$
- 3-SAT: $\left(x_{1} \vee \neg x_{2} \vee x_{4}\right) \wedge\left(\neg x_{1} \vee x_{2} \vee x_{3}\right) \wedge\left(x_{2} \vee \neg x_{3} \vee x_{4}\right)$


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- Fractional vs 0-1 knapsack


## Time complexity

| Time <br> complexity <br> function | 10 | 20 | 30 | 40 | 50 | 60 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | .00001 <br> second | .00002 <br> second | .00003 <br> second | .00004 <br> second | .00005 <br> second | .00006 <br> second |
| $n^{2}$ | .0001 <br> second | .0004 <br> second | .0009 <br> second | .0016 <br> second | .0025 <br> second | .0036 <br> second |
| $n^{3}$ | .001 <br> second | .008 <br> second | .027 <br> second | .064 <br> second | .125 <br> second | .216 <br> second |
| $n^{5}$ | .1 <br> second | 3.2 <br> seconds | 24.3 <br> seconds | 1.7 <br> minutes | 5.2 <br> minutes | 13.0 <br> minutes |
| $2^{n}$ | .001 <br> second | 1.0 <br> second | 17.9 <br> minutes | 12.7 <br> days | 35.7 <br> years | 366 <br> centuries |
| $3^{n}$ | .059 <br> second | 58 <br> minutes | 6.5 <br> years | 3855 <br> centuries | $2 \times 10^{8}$ <br> centuries | $1.3 \times 10^{13}$ <br> centuries |

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- It is obvious that $P \subseteq N P$. However, the famous open question is whether $P$ is a proper subset of NP
- If any NP-complete problem can be solved in polynomial time, then every problem in NP has a polynomial-time algorithm


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- Which problem is harder?
- Can an optimization problem be converted as decision problem?


## Reduction

- $X \leq_{p} Y$
- Problem $X$ polynomial-time reduces to problem $Y$ if arbitrary instances of problem $X$ can be solved using:
- Polynomial number of standard computational steps $(f, h)$, plus
- Polynomial number of calls to oracle that solves problem Y


## Poly-time Reduction

- If $X \leq_{p} Y$ and $Y$ can be solved in polynomial time, then $X$ can be solved in polynomial time
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- If $X \leq_{p} Y$ and $Y$ can be solved in exponential time, then $X$ - ??


## Hamiltonian path $\rightarrow$ Hamiltonian cycle

- Hamiltonian cycle: given a graph, is there a cycle that passes through each vertex exactly once?
- Hamiltonian path $(s, t)$ : given a graph, is there a path between $s$ and $t$ that passes through each vertex exactly once?



## Abstract problem

- An abstract problem $Q$ is defined to be binary relation on a set $/$ of problem instances and a set $S$ of problem solution
- For shortest-path - problem instance consists of a graph and two vertices, $I=\langle G, u, v\rangle$
- A solution is sequence of vertices or null if it does not exist
- For NP-Completeness, we are primarily interested in decision problems
- For shortest-path, decision problem can be represented as $I=\langle G, u, v, k\rangle$
- Given a graph and two vertices, does there exist a path with at most $k$ edges?
- An optimization problem can be converted to decision problem


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| Neighbor list | $\left(v_{2}\right)\left(v_{1} v_{3}\right)\left(v_{2}\right)()$ | 24 |
| Adjacency matrix rows | $0100 / 1010 / 0100 / 0000$ | 19 |

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- The size of an instance $l$ is just the length of its string, $n=\mid \|$
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- A concrete problem is polynomial-time solvable if there exist an algorithm to solve it in $O\left(n^{k}\right)$ time for some constant $k$


## Encoding

- We say a function $f:\{0,1\}^{*} \rightarrow\{0,1\}^{*}$ is polynomial-time computable if there exists a polynomial-time algorithm $A$ that given any input $x \in\{0,1\}^{*}$, produces as output $f(x)$
- We say that two encodings $e_{1}$ and $e_{2}$ are polynomially related if there exist two polynomial-time computable function $f_{12}$ and $f_{21}$ such that for any $i \in I$, we have $f_{12}\left(e_{1}(i)\right)=e_{2}(i)$ and $f_{21}\left(e_{2}(i)\right)=e_{1}(i)$


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- Let $Q$ be an abstract decision problem on an instance set $l$, and let $e_{1}$ and $e_{2}$ be polynomially related encodings on $I$. Then, $e_{1}(Q) \in P$ if and only if $e_{2}(Q) \in P$.

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- A language $L$ is decided in polynomial-time by an algorithm $A$ if there exists a constant $k$ such that for any length- $n$ string $x \in\{0,1\}^{*}$, the algorithm correctly decides whether $x \in L$ in $O\left(n^{k}\right)$ time


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- If $L \in P$, then $L \in N P$, thus, $P \subseteq N P$
- It leaves the question of whether $P=N P$


## NP-completeness

- A language $L \subseteq\{0,1\}^{*}$ is NP-complete (NPC) if
- $L \in N P$, and
- $L^{\prime} \leq_{P} L$ for every $L^{\prime} \in N P$
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- If any NP-complete problem is polynomial-time solvable, then $P=N P$. Equivalently, if any problem in NP is not polynomial-time solvable, then no NP-complete problem is polynomial-time solvable.


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- Circuit-SAT is also NP-Hard (see detailed proof in the book)



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- If we have, $L \in N P$, then we also have $L \in$ NPC

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- Prove $L \in N P$
- Prove that $L$ is NP-hard:
- Select a known NP-complete language $L^{\prime}$
- Describe an algorithm that computes a function $f$ mapping every instance $x \in\{0,1\}^{*}$ of $L^{\prime}$ to an instance of $f(x)$ of $L$
- Prove that the function $f$ satisfies $x \in L^{\prime}$ if and only if $f(x) \in L$ for all $x \in\{0,1\}^{*}$
- Prove that the algorithm computing $f$ runs in polynomial time


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- To prove NP-hard, we need to show Circuit-SAT $\leq_{P}$ SAT


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- If some assignment causes $\phi$ to evaluate to 1 , we can assign values to different wires and it will evaluate to 1 for $C$



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- Literal - variable in boolean formula, $x_{1}$ or $\neg x_{1}$
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- Circuit-SAT can be converted to CNF-SAT in polynomial time using above transformations
- It can be shown Circuit-SAT has a solution if and only if CNF-SAT has a solution


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- Clause with 3 literals: No need to change


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Set $z_{1}, \ldots, z_{i-2}$ to true and rest to false

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## Independent Set $($ IS $) \in$ NPC

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- If I has a truth assignment then $G$ has independent set of size $k$



## Vertex Cover (VC) $\in$ NPC

- A vertex cover of an undirected graph $G=(V, E)$ is a subset $V^{\prime} \subseteq V$ such that if $(u, v) \in E$ then $u \in V^{\prime}$ or $v \in V^{\prime}$ or both. Does graph $G$ has a vertex cover of size $k$ ?


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- Thus to solve, an instance of ( $G, k$ ) of independent-set, we simply look for a vertex cover of $G$ with $V-k$ nodes
- If a vertex cover exists, then all nodes not in VC set form IS
- If no such vertex cover exists, $G$ cannot have an independent set of size $k$


## Clique $\in$ NPC

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- We choose $X$, CNF-SAT instance, that has $k$ number of clauses $\left(C_{1}, \ldots, C_{k}\right)$, where each clause has exactly 3 literals
- Graph construction: $G=(V, E)$
- For each clause $C_{r}=\left(I_{1}^{r} \vee I_{2}^{r} \vee I_{3}^{r}\right)$ in $X$ create three vertices $v_{1}^{r}, v_{2}^{r}, v_{3}^{r}$ into $V$
- Add edge $\left(v_{i}^{r}, v_{j}^{s}\right)$ into $E$ if both of the following hold
- $v_{i}^{r}$ and $v_{j}^{s}$ are in different triples, that is $r \neq s$, and
- their corresponding literals are consistent, that is $l_{i}^{r}$ is not the negation of $\zeta_{j}^{\beta}$


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- Suppose $G$ contains a clique of size $k$ : what can be claimed?




[^0]:    Image source: Computers and Intractability

