

CS514: Design and Analysis of Algorithms

Recursion: Sorting



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Sorting-1

- $\text{sortS}(S, n)$
 1. if $n=1$ then return S_1
 2. $x = \max(S)$; $S' = \{S\} - x$;
 3. $S'' = \text{sortS}(S', n - 1)$
 4. return $\{S''\} * \{x\}$

Sorting-2

- $\text{sortl}(S, n)$
 1. if $n=1$ then return s_1
 2. Split S as follows: $S' = \{S\} - s_n$;
 3. $S'' = \text{sortl}(S', n - 1)$
 4. Insert s_n in S'' at appropriate location to obtain S'''
 5. return S'''

Sorting-3

- $\text{sortM}(S, n)$
 1. if $n=1$ then return S_1
 2. Split S into two disjoint sets S_1, S_2
 3. $S'_1 = \text{sortM}(S_1, n_1)$
 4. $S'_2 = \text{sortM}(S_2, n_2)$
 5. return $\text{merge}(S_1, S_2)$

Sorting-3

- $\text{sortM}(S, n)$
 1. if $n=1$ then return S_1
 2. Split S into two disjoint sets S_1, S_2
 3. $S'_1 = \text{sortM}(S_1, n_1)$
 4. $S'_2 = \text{sortM}(S_2, n_2)$
 5. return $\text{merge}(S_1, S_2)$
- $\text{merge}(S_1[1 \dots n_1], S_2[1 \dots n_2])$
 1. if $n_1 = 0$ return S_2
 2. if $n_2 = 0$ return S_1
 3. if $S_1[1] \leq S_2[1]$
 4. return $\text{merge}(S_1[2 \dots n_1], S_2[1 \dots n_2])$
 5. else
 6. return $\text{merge}(S_1[1 \dots n_1], S_2[2 \dots n_2])$

Sorting-4

- $\text{sortQ}(S, n)$
 1. if $n=1$ then return S_1
 2. Choose a pivot $p \in S$
 3. Split S in such a way that $S_1 = \{y|y \leq p\}$ and $S_2 = \{y|y > p\}$
 4. $S'_1 = \text{sortQ}(S_1, l)$
 5. $S'_2 = \text{sortQ}(S_2, n - l - 1)$
 6. return $\{S'_1\} * \{p\} * \{S'_2\}$

Sorting-4

- $\text{sortQ}(S, n)$
 1. if $n=1$ then return S_1
 2. Choose a pivot $p \in S$
 3. Split S in such a way that $S_1 = \{y|y \leq p\}$ and $S_2 = \{y|y > p\}$
 4. $S'_1 = \text{sortQ}(S_1, l)$
 5. $S'_2 = \text{sortQ}(S_2, n - l - 1)$
 6. return $\{S'_1\} * \{p\} * \{S'_2\}$
- $\text{Partition}(S, p)$
 1. swap $s_p \leftrightarrow s_n$
 2. $l = 0$
 3. for i in 1 to $(n - 1)$
 4. if $s_i < s_n$ then $\{ l = l + 1; \text{swap } s_l \leftrightarrow s_i \}$
 3. swap $s_n \leftrightarrow s_{l+1}$
 6. return $l + 1$

Average case analysis-1

$$T(n) = cn + \frac{1}{n} \sum_{i=0}^{n-1} (T(i) + T(n-i-1)) = cn + \frac{2}{n} \sum_{i=0}^{n-1} T(i)$$

$$\Rightarrow nT(n) = cn^2 + 2 \sum_{i=0}^{n-1} T(i)$$

$$\Rightarrow (n-1)T(n-1) = c(n-1)^2 + 2 \sum_{i=0}^{n-2} T(i)$$

$$nT(n) - (n-1)T(n-1) = c(2n-1) + 2T(n-1)$$

$$nT(n) = (n+1)T(n-1) + c(2n-1)$$

$$\frac{T(n)}{n+1} = \frac{T(n-1)}{n} + \frac{2cn}{n(n+1)} - \frac{c}{n(n+1)}$$

Average case analysis-2

$$\begin{aligned}\frac{T(n)}{n+1} &= \frac{T(n-1)}{n} + \frac{2cn}{n(n+1)} - \frac{c}{n(n+1)} \\ \frac{T(n-1)}{n} &= \frac{T(n-2)}{n-1} + \frac{2c(n-1)}{(n-1)n} - \frac{c}{(n-1)n} \\ \frac{T(n-2)}{n-1} &= \frac{T(n-3)}{n-2} + \frac{2c(n-2)}{(n-2)(n-1)} - \frac{c}{(n-2)(n-1)}\end{aligned}$$

\vdots
 $= \vdots$

$$\frac{T(2)}{3} = \frac{T(1)}{2} + \frac{2c \times 2}{2 \times 3} - \frac{c}{2 \times 3}$$

$$\frac{T(n)}{n+1} = \frac{T(1)}{2} + 2c \left[\frac{1}{n+1} + \frac{1}{n} + \frac{1}{n-1} + \dots + \frac{1}{3} \right] - c \left[\left(\frac{1}{n} - \frac{1}{n+1} \right) + \left(\frac{1}{n-1} - \frac{1}{n} \right) + \dots + \left(\frac{1}{2} - \frac{1}{3} \right) \right]$$

$$\frac{T(n)}{n+1} = \frac{T(1)}{2} + 2cH_n - 2c \times \frac{3}{2} + \frac{2c}{n+1} - \frac{c(n-1)}{2(n+1)}$$

$$T(n) = 2c(n+1) \log n - 4cn - \frac{1}{2} = O(n \log n)$$

Lower bound for sorting

- Comparison based sorting has lower bound $\Omega(n \lg n)$

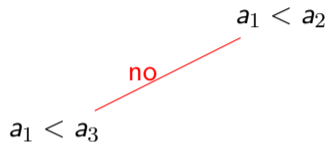
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$$a_1 < a_2$$

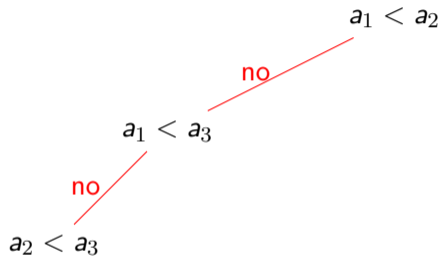
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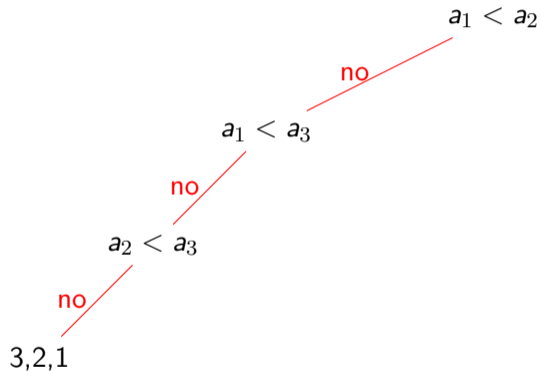
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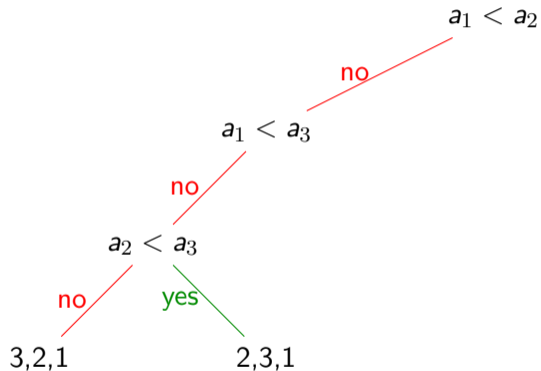
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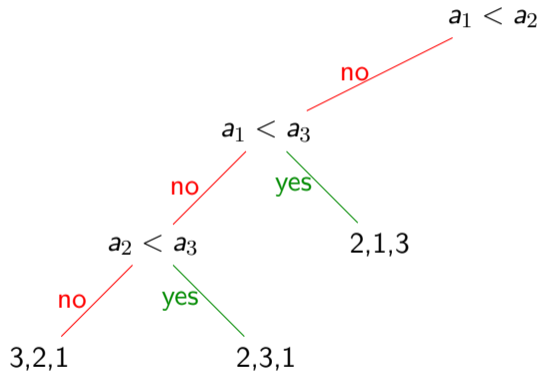
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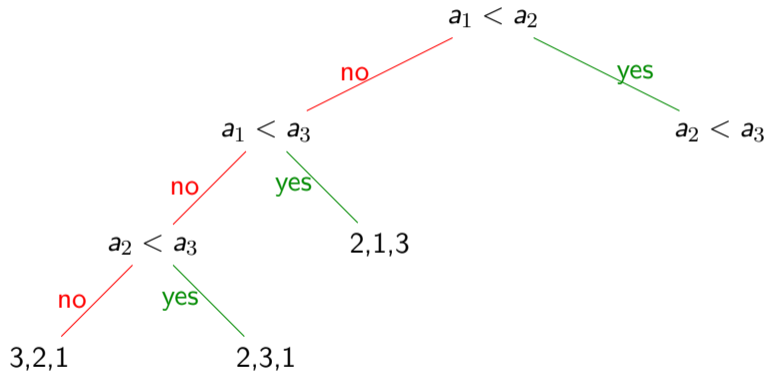
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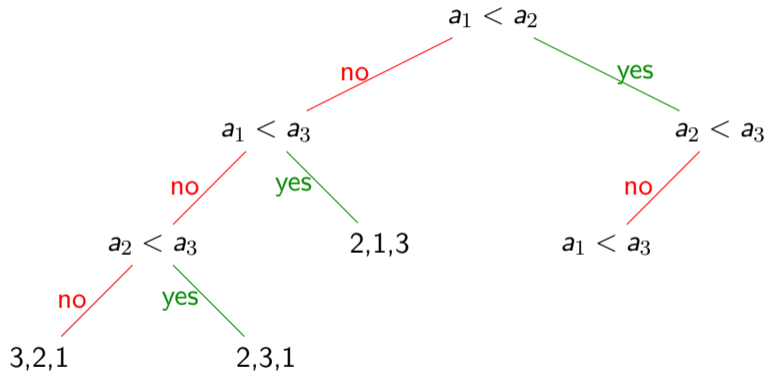
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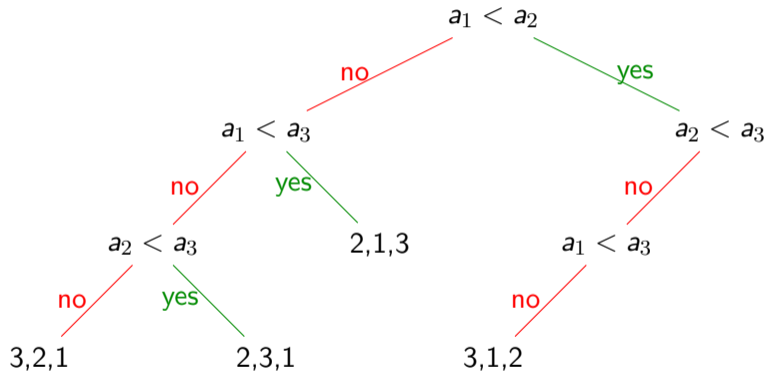
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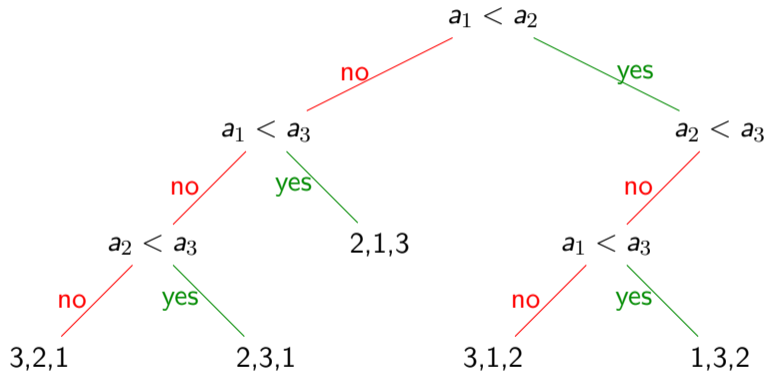
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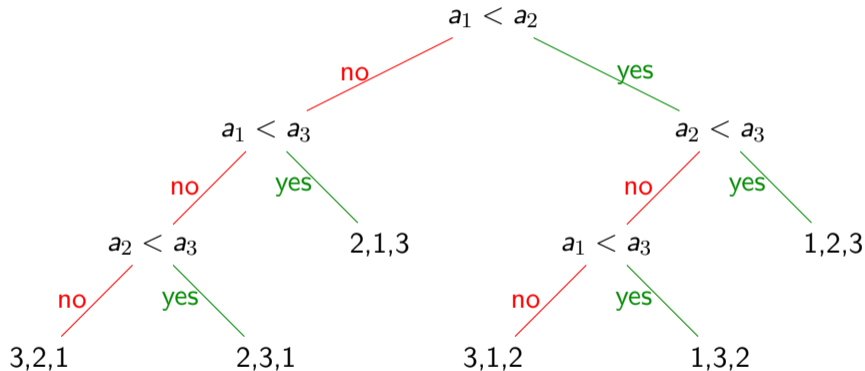
Lower bound for sorting

- Comparison based sorting has lower bound $\Omega(n \lg n)$



Lower bound for sorting

- Comparison based sorting has lower bound $\Omega(n \lg n)$



Exercise-1

- **Input:** An array A of distinct integers. **Output:** The number of inversions of A — the number of pairs (i, j) of array indices with $i < j$ and $A[i] > A[j]$
- **Example:** Consider the permutation — 5, 9, 1, 8, 2, 6, 4, 7, 3. The number for inversions for 1-9 are as follows: 2, 3, 6, 4, 0, 2, 2, 1, 0 (aka. inversion table). Hence total number of inversion is 20.
- Given an inversion table, is it possible to find original permutation?
- Can *inversion* count be used for collaborative filtering?

Exercise-2

- Can **four** elements be sorted using 5 comparison?
- Can **five** elements be sorted using 7 comparison?

Thank you!