

Quantum Statistical Mechanics

(1)

State:

$$|\Psi\rangle = \sum_n |n\rangle \langle n|\Psi\rangle = \sum_n \langle n|\Psi\rangle |n\rangle$$

Normalization:

$$\langle \Psi|\Psi\rangle = \sum_n \langle n|\Psi\rangle \langle \Psi|n\rangle = \sum_n |\langle n|\Psi\rangle|^2 = 1.$$

Observables:

Operators (or, matrices) $\hat{O}(\hat{q}, \hat{p})$

$$\text{Classically } \{A, B\} = \sum_\alpha \frac{\partial A}{\partial q_\alpha} \frac{\partial B}{\partial p_\alpha} - \frac{\partial A}{\partial p_\alpha} \frac{\partial B}{\partial q_\alpha}$$

s.t., Quantum mechanically $[p_i, q_j] = -i\hbar \delta_{ij}$.

Expectation value $\langle \Psi|\hat{O}|\Psi\rangle$

$$= \sum_{n,m} \langle \Psi|n\rangle \langle n|\hat{O}|m\rangle \langle m|\Psi\rangle$$

$$= \langle \Psi|\hat{O}|\Psi\rangle^*$$

$$\Rightarrow \langle n|\hat{O}|m\rangle = \langle m|\hat{O}|n\rangle.$$

$$\Rightarrow \hat{O} = \hat{O}^\dagger : \text{Hermitian}$$

$$\text{Classical time evolution: } \dot{q}_i = \frac{\partial H}{\partial p_i} = \{q_i, H\}$$

$$\dot{p}_i = -\frac{\partial H}{\partial q_i} = \{p_i, H\}$$

Quantum time evolution:

$$i\hbar \frac{\partial}{\partial t} |\Psi\rangle = H|\Psi\rangle,$$

Classical macrostate (M_α, P_α)

\Downarrow
 $\rho(q_i, p_i)$

Quantum mechanical

$(|\Psi_\alpha\rangle, P_\alpha) \equiv$ mixed state

$$\langle \hat{O} \rangle_{\text{ensemble}} = \int d\Gamma \mathcal{O}(q_i, p_i) \rho(q_i, p_i)$$

$$\langle \hat{O} \rangle = \sum_{\alpha} P_{\alpha} \langle \Psi_{\alpha} | \hat{O} | \Psi_{\alpha} \rangle$$

$$= \sum_{\alpha, m, n} P_{\alpha} \langle \Psi_{\alpha} | m \rangle \langle m | \hat{O} | n \rangle \langle n | \Psi_{\alpha} \rangle$$

$$= \sum_{m, n} \langle m | \hat{O} | n \rangle \underbrace{\sum_{\alpha} P_{\alpha} \langle n | \Psi_{\alpha} \rangle \langle \Psi_{\alpha} | m \rangle}_{\langle n | \hat{\rho} | m \rangle}$$

$$= \text{Tr}(\hat{\rho} \hat{O})$$

where, $\hat{\rho} = \sum_{\alpha} P_{\alpha} |\Psi_{\alpha}\rangle \langle \Psi_{\alpha}|$
 (Density matrix)

Properties of Density matrix.

- Positive definite :

$$\langle \Phi | \hat{\rho} | \Phi \rangle = \sum_{\alpha} p_{\alpha} \langle \Phi | \Psi_{\alpha} \rangle \langle \Psi_{\alpha} | \Phi \rangle$$

$$= \sum_{\alpha} p_{\alpha} |\langle \Psi_{\alpha} | \Phi \rangle|^2 > 0.$$

- Hermitian :

$$\hat{\rho}^{\dagger} = \sum_{\alpha} p_{\alpha} |\Psi_{\alpha}\rangle \langle \Psi_{\alpha}| = \hat{\rho}.$$

- Normalization :

$$1 = \text{Tr} \hat{\rho} = \sum_{\alpha, n} p_{\alpha} \langle n | \Psi_{\alpha} \rangle \langle \Psi_{\alpha} | n \rangle$$

$$= \sum_{\alpha, n} p_{\alpha} \langle \Psi_{\alpha} | n \rangle \langle n | \Psi_{\alpha} \rangle$$

$$= \sum_{\alpha} p_{\alpha} \underbrace{\langle \Psi_{\alpha} | \Psi_{\alpha} \rangle}_1$$

$$= \sum_{\alpha} p_{\alpha}$$

$$= 1.$$

- Liouville's theorem :

Classically, $\frac{\partial \rho}{\partial t} = \{H, \rho\}.$

$$i\hbar \frac{\partial \hat{\rho}}{\partial t} = \sum_{\alpha} p_{\alpha} i\hbar \frac{\partial}{\partial t} (|\Psi_{\alpha}\rangle \langle \Psi_{\alpha}|)$$

$$= \sum_{\alpha} p_{\alpha} \left[\underbrace{i\hbar \frac{\partial}{\partial t} |\Psi_{\alpha}\rangle}_{\hat{H}|\Psi_{\alpha}\rangle} \langle \Psi_{\alpha}| + |\Psi_{\alpha}\rangle \underbrace{i\hbar \frac{\partial}{\partial t} \langle \Psi_{\alpha}|}_{-\langle \Psi_{\alpha}| \hat{H}} \right].$$

$$\begin{cases} \text{But } i\hbar \frac{\partial |\Psi\rangle}{\partial t} = \hat{H}|\Psi\rangle. \\ \Rightarrow -i\hbar \frac{\partial \langle \Psi|}{\partial t} = \langle \Psi| \hat{H}. \end{cases}$$

$$= \hat{H} \left(\sum_{\alpha} p_{\alpha} |\Psi_{\alpha}\rangle \langle \Psi_{\alpha}| \right) - \left(\sum_{\alpha} p_{\alpha} |\Psi_{\alpha}\rangle \langle \Psi_{\alpha}| \right) \hat{H}.$$

$$= [\hat{H}, \hat{\rho}]. \quad \Rightarrow \boxed{i\hbar \frac{\partial \hat{\rho}}{\partial t} = [\hat{H}, \hat{\rho}].}$$

Note: $\frac{\partial \rho}{\partial t} = 0$ at equilibrium $\Rightarrow \{H, \rho\} = 0$
 $\Rightarrow \rho_{eq} \equiv \rho(H) = \frac{\delta(H, E)}{J(E)}.$

Similarly, for QM case $[\hat{H}, \hat{\rho}] = 0$
 for $\hat{\rho}_{eq}$: \hat{H}
 Equilibrium.

(A) Microcanonical ensemble:

Use energy basis sets s.t., $\hat{H}|n\rangle = E_n|n\rangle$.

$$\Rightarrow \langle n | \hat{\rho}_{eq} | m \rangle = \frac{1}{\Omega(E)} \begin{cases} 1 & \text{if } E_m = E \text{ \& } m = n \\ 0 & \text{if } E_m \neq E \text{ or } m \neq n \end{cases}$$

Assumption of equal a priori probability.

$$\Omega(E) = \text{Tr} [\delta_{H,E}] = \text{number of states of energy } E.$$

(B) Canonical ensemble

Fixed V, N and T

The density matrix is given by

$$\rho_{n,m} = \delta_{n,m} e^{-\beta E_n}$$

$$\text{Thus, } Z(N, V, T) = \sum_n e^{-\beta E_n} = \text{Tr} \hat{\rho}.$$

(C) Grand canonical ensemble

The density matrix acts on a Hilbert space with an indefinite number of particles.

Let $E_{n,N}$ be the n^{th} energy level for N particles. The density matrix is expressed by, $\rho_{n,N} = z^N e^{-\beta E_{n,N}}$, $z = e^{\beta \mu}$.

$$P = \frac{1}{\beta V} \ln \Xi(z, V, T)$$
$$\text{s.t., } \Xi(z, V, T) = \sum_{N,n} z^N e^{-\beta E_{n,N}}.$$