# **CS514: Design and Analysis of Algorithms**



#### **Arijit Mondal**

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IIT Patna

# **General Information** • Class timings • Room - 301 (Block 9) • Monday — 1600-1700 • Tuesday — 1700-1800 • Friday — 1500-1600

# **Books**

Thomas H Cormen, Charles E Lieserson, Ronald L Rivest and Clifford Stein, Introduction to Algorithms, Third Edition, MIT Press/McGraw-Hill
 Saniov Dasgupta, Christos H. Papadimitriou and Umesh V. Vazirani, Algorithms, Tata

• Steven Skiena, *The Algorithm Design Manual*, Springer

• Jon Kleinberg and Éva Tardos, Algorithm Design, Pearson, 2005.

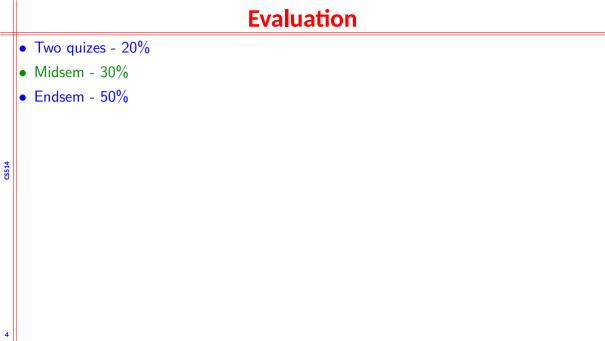
Pohort Sodgowick and Kovin Wayne Algorithms fourth edition Ac

• Robert Sedgewick and Kevin Wayne, *Algorithms*, fourth edition, Addison Wesley, 2011.

• Udi Manber, Algorithms – A Creative Approach, Addison-Wesley, 1989

• Jeff Erickson, Algorithms

McGraw-Hill, 2008.



# **CS514: Design and Analysis of Algorithms**

### Introduction



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	Algorithm
	• Is it a jumbled form of <i>logarithm</i> ?
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	Algorithm
	• Is it a jumbled form of <i>logarithm</i> ?
	<ul> <li>The word algorithm came into existence sometime after 1957</li> </ul>
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6	

	Algorithm
	• Is it a jumbled form of <i>logarithm</i> ?
	• The word algorithm came into existence sometime after 1957
	<ul> <li>Closest word that existed was algorism – it means the process of doing arithmetic using Arabic numerals</li> </ul>
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rithm

	Properties of algorithm
	• Input — an algorithm has zero or more inputs
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	Properties of algorithm
	Input — an algorithm has zero or more inputs
	Output — an algorithm has one or more outputs
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# Properties of algorithm Input — an algorithm has zero or more inputs Output — an algorithm has one or more outputs

- The state of the control of the cont
- Finiteness an algorithm must terminates after finite number of steps

# Properties of algorithm

- Input an algorithm has zero or more inputs
- Output an algorithm has one or more outputs
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- Definiteness each step of algorithm needs to be defined precisely and unabiguously

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Definiteness — each step of algorithm needs to be defined precisely and unabiguously

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# **Properties of algorithm**

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Effectiveness — operations must all be sufficiently basic that they can in principle be done exactly and in a finite length of time by someone using pencil and paper

Definiteness — each step of algorithm needs to be defined precisely and unabiguously

- Input an algorithm has zero or more inputs
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- Finiteness an algorithm must terminates after finite number of steps
  - Definiteness each step of algorithm needs to be defined precisely and unabiguously
  - add salt to taste
- Effectiveness operations must all be sufficiently basic that they can in principle be done exactly and in a finite length of time by someone using pencil and paper
- If 4 is the largest integer n for which there is a solution to the equation  $w^n + x^n + y^n = z^n$  in positive integers w, x, y, and z, then go to step 6

- Algorithm and program
- Pseudo-code

ture use

- $\bullet \ \mathsf{Algorithm} + \mathsf{Data}\text{-}\mathsf{Structures} = \mathsf{Program}$
- Initial solution + Analysis + Solution Refine-
- ment + Data-Structures = Final Program
   Use of recursive definition for initial solution
- Use recurrence equation for proofs and analvsis
- Solution refinement through recursion transformation and traversal
- Data structures for saving past results for fu-

- Sample problems
  - Finding MAX
  - Finding MAX and MIN
  - Finding MAX and 2nd-MAX
    Fibonacci numbers
  - Fibonacci numbersSearching in ordered /
  - ordered listSorting
    - Pattern matching
    - Permutation and combination
  - Shortest path

```
Finding MAX of n elements (1)
• Given L = \{x_1, x_2, \dots, x_n\}, all x_i are integers. We need to find \max\{L\}

    Sequential comparison:

 1. max(L)
 2. if |L| = 1 return x_1
 3. L' = L - \{x_1\}
 4. x' = \max(L')
5. if (x_1 > x') return x_1
 6. else return x'
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Finding MAX of n elements (1)
• Given L = \{x_1, x_2, \dots, x_n\}, all x_i are integers. We need to find \max\{L\}

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                                                 {5, 6, 10, 3, 1, 12, 2}
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# Finding MAX of n elements (1) • Given $L = \{x_1, x_2, ..., x_n\}$ , all $x_i$ are integers. We need to find $\max\{L\}$ • Sequential comparison: 1. $\max(L)$ 2. if |L| = 1 return $x_1$ 3. $L' = L - \{x_1\}$

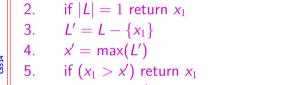
4.  $x' = \max(L')$ 5. if  $(x_1 > x')$  return  $x_1$ 

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# Finding MAX of n elements (1) • Given $L = \{x_1, x_2, \dots, x_n\}$ , all $x_i$ are integers. We need to find $\max\{L\}$ • Sequential comparison: 1. $\max(L)$

 $x_1 = 5, L' = \{6, 10, 3, 1, 12, 2\}$ 

 $x_1 = 6, L' = \{10, 3, 1, 12, 2\}$ 



else return  $\chi'$ 

# Finding MAX of n elements (1)

```
Given L = {x<sub>1</sub>, x<sub>2</sub>,...,x<sub>n</sub>}, all x<sub>i</sub> are integers. We need to find max{L}
Sequential comparison:
```

1.  $\max(L)$ 2. if |L| = 1 return  $x_1$ 3.  $L' = L - \{x_1\}$  $x_1 = 5, L' = \{6, 10, 3, 1, 12, 2\}$ 

4.  $x' = \max(L')$ 5. if  $(x_1 > x')$  return  $x_1$ 6. else return x'  $x_1 = 6, L' = \{10, 3, 1, 12, 2\}$   $x_1 = 10, L' = \{3, 1, 12, 2\}$ 

# Finding MAX of n elements (1)

- Given  $L = \{x_1, x_2, \dots, x_n\}$ , all  $x_i$  are integers. We need to find  $\max\{L\}$
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- - 2. if |L| = 1 return  $x_1$

  - 3.  $L' = L \{x_1\}$

  - 4.  $x' = \max(L')$

  - else return x'

  - 5. if  $(x_1 > x')$  return  $x_1$

- $x_1 = 10, L' = \{3, 1, 12, 2\}$

 $x_1 = 5, L' = \{6, 10, 3, 1, 12, 2\}$ 

- $x_1 = 3, L' = \{1, 12, 2\}$

- $x_1 = 6, L' = \{10, 3, 1, 12, 2\}$

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3.  $L' = L - \{x_1\}$  $x_1 = 6, L' = \{10, 3, 1, 12, 2\}$ 

 $x_1 = 10, L' = \{3, 1, 12, 2\}$ else return x'

 $x_1 = 3, L' = \{1, 12, 2\}$ 

 $x_1 = 1, L' = \{12, 2\}$ 

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## Finding MAX of n elements (1) • Given $L = \{x_1, x_2, \dots, x_n\}$ , all $x_i$ are integers. We need to find $\max\{L\}$ Sequential comparison:

{5, 6, 10, 3, 1, 12, 2} 1. max(L)2. if |L| = 1 return  $x_1$  $x_1 = 5, L' = \{6, 10, 3, 1, 12, 2\}$ 3.  $L' = L - \{x_1\}$  $x_1 = 6, L' = \{10, 3, 1, 12, 2\}$ 4.  $x' = \max(L')$ 

 $x_1 = 10, L' = \{3, 1, 12, 2\}$ else return x' $x_1 = 3, L' = \{1, 12, 2\}$  $x_1 = 1, L' = \{12, 2\}$  $x_1 = 12, L' = \{2\}$ 

5. if  $(x_1 > x')$  return  $x_1$ 

#### Finding MAX of n elements (1) • Given $L = \{x_1, x_2, \dots, x_n\}$ , all $x_i$ are integers. We need to find $\max\{L\}$ Sequential comparison: {5, 6, 10, 3, 1, 12, 2} 1. max(L)2. if |L| = 1 return $x_1$ $x_1 = 5, L' = \{6, 10, 3, 1, 12, 2\}$ 3. $L' = L - \{x_1\}$ $x_1 = 6, L' = \{10, 3, 1, 12, 2\}$ 4. $x' = \max(L')$ 5. if $(x_1 > x')$ return $x_1$ $x_1 = 10, L' = \{3, 1, 12, 2\}$ else return x' $x_1 = 3, L' = \{1, 12, 2\}$ $x_1 = 1, L' = \{12, 2\}$ $x_1 = 12, L' = \{2\}$

 $L' = \{2\}$ 

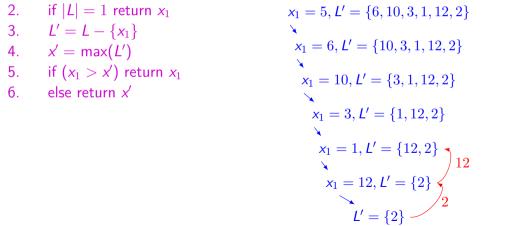
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 $x_1 = 12, L' = \{2\}$   $L' = \{2\}$ 

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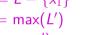
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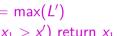


1. max(L)

#### Finding MAX of n elements (1) • Given $L = \{x_1, x_2, \dots, x_n\}$ , all $x_i$ are integers. We need to find $\max\{L\}$ Sequential comparison: $\{5, 6, 10, 3, 1, 12, 2\}$ 1. max(L) $x_1 = 5, L' = \{6, 10, 3, 1, 12, 2\}$ 2. if |L| = 1 return $x_1$







5. if 
$$(x_1 > x')$$
 return  $x_1$ 

if 
$$(x_1 > x')$$
 return  $x_1$  else return  $x'$ 

$$> x'$$
) return  $x_1$  eturn  $x'$ 



$$x_1 = 10, L' = \{3, 1, 12, 2\}$$
  
 $x_1 = 3, L' = \{1, 12, 2\}$ 

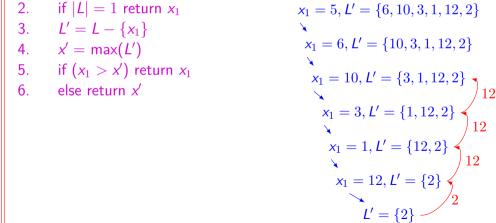
$$x_1 = 3, L' = \{1, 12, 2\}$$

$$x' = \{1$$

 $x_1 = 6, L' = \{10, 3, 1, 12, 2\}$ 

$$x_1 = 1, L' = \{12, 2\}$$
 $x_1 = 12, L' = \{2\}$ 
 $L' = \{2\}$ 

# Finding MAX of n elements (1) • Given $L = \{x_1, x_2, \dots, x_n\}$ , all $x_i$ are integers. We need to find $\max\{L\}$ Sequential comparison: $\{5, 6, 10, 3, 1, 12, 2\}$ $x_1 = 5, L' = \{6, 10, 3, 1, 12, 2\}$



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2. if |L| = 1 return  $x_1$ 3.  $L' = L - \{x_1\}$ 4.  $x' = \max(L')$ 

1. max(L)

5. if  $(x_1 > x')$  return  $x_1$ else return x'

$$x_{1} = 10, L' = \{3, 1, 12, 2\}$$

$$x_{1} = 3, L' = \{1, 12, 2\}$$

$$x_{1} = 1, L' = \{12, 2\}$$

$$12$$

$$x_{1} = 1, L' = \{12, 2\}$$

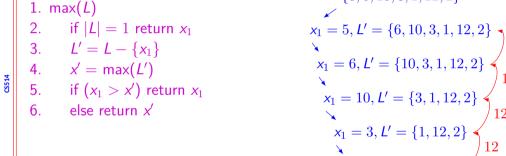
$$12$$

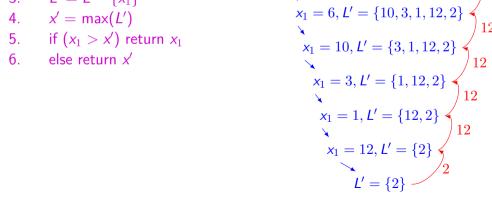
$$x_{1} = 12, L' = \{2\}$$

$$L' = \{2\}$$

 $x_1 = 6, L' = \{10, 3, 1, 12, 2\}$ 

# Finding MAX of n elements (1) • Given $L = \{x_1, x_2, \dots, x_n\}$ , all $x_i$ are integers. We need to find $\max\{L\}$ Sequential comparison: $\{5, 6, 10, 3, 1, 12, 2\}$





# Finding MAX of n elements (1) • Given $L = \{x_1, x_2, \dots, x_n\}$ , all $x_i$ are integers. We need to find $\max\{L\}$ Sequential comparison: $\begin{cases} 5, 6, 10, 3, 1, 12, 2 \end{cases}$ $x_1 = 5, L' = \{6, 10, 3, 1, 12, 2\}$



1. max(L)

 $x_1 = 1, L' = \{12, 2\}$   $x_1 = 12, L' = \{2\}$   $L' = \{2\}$ 

## Finding MAX of n elements (1) • Given $L = \{x_1, x_2, \dots, x_n\}$ , all $x_i$ are integers. We need to find $\max\{L\}$

```
• Sequential comparison:
                                                                                    \begin{cases} 5, 6, 10, 3, 1, 12, 2 \end{cases} 
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```

1. max(L)

2. if |L| = 1 return  $x_1$ 

3.  $L' = L - \{x_1\}$ 

4.  $x' = \max(L')$ 

5. if  $(x_1 > x')$  return  $x_1$ else return x'

Complexity analysis:

 $T(n) = T(n-1) + 1, \quad n > 1$ 

= 0. n = 1

$$x_1 = 6, L' = \{10, 3, 1, 12, 2\}$$
rn  $x_1$ 

$$x_1 = 10, L' = \{3, 4\}$$

$$' = \{3, 1\}$$

$${3, 1, 12, 2}$$

$$x_{1} = 10, L' = \{3, 1, 12, 2\}$$

$$x_{1} = 3, L' = \{1, 12, 2\}$$

$$x_{1} = 1, L' = \{12, 2\}$$

$$12$$

$$x_{1} = 12, L' = \{2\}$$

$$L' = \{2\}$$

$$\{1,12,2\}$$

$$\{12,2\}$$

# Finding MAX of n elements (2) • Given $L = \{x_1, x_2, \dots, x_n\}$ , all $x_i$ are integers. We need to find $\max\{L\}$ • Recursive formulation: 1. $\max 2(L)$ 2. if |L| = 1 return $x_1$ 3. Split L into 2 non-empty sets $L_1, L_2$ 4. $x = \max 2(L_1)$

5.  $y = \max_{x \in \mathbb{R}} (L_1)$ 6. if (x > y) return x7. else return y

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- Given  $L = \{x_1, x_2, \dots, x_n\}$ , all  $x_i$  are integers. We need to find  $\max\{L\}$
- Recursive formulation:
- 1.  $\max_{l} 2(L)$ 
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- 4.  $x = \max_{l} 2(L_1)$
- 5.  $y = \max_{l} 2(L_2)$

- 6. if (x > y) return x
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- $\{5, 6, 10\}$   $\{3, 1, 12, 2\}$

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- $\{5,6\}\ \{10\}\ \{3,1\}\ \{12,2\}$

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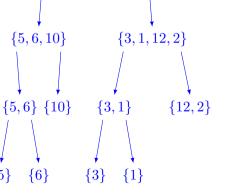
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6. if (x > y) return x7. else return *y* 



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- 7. else return *y*
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- - $\{5, 6, 10\}$   $\{3, 1, 12, 2\}$

{5, 6, 10, 3, 1, 12, 2}

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 $\{3\}$   $\{1\}$ 

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- 6. if (x > y) return x
- - $\{5, 6, 10\}$ 

    - - $\{3, 1, 12, 2\}$

{5, 6, 10, 3, 1, 12, 2}

- $\{5,6\}\ \{10\}\ \{3,1\}\ \{12,2\}$

{3}

{5, 6, 10, 3, 1, 12, 2}

 $\{5, 6, 10\}$   $\{3, 1, 12, 2\}$ 

 $\{5,6\}\ \{10\}\ \{3,1\}\ \{12,2\}$ 

*{*6*} {*3*} {*1*} {*12*}* 

 $5 \left( \right) \left( \right) 6 3 \left( \right) \left( \right) 1$ 

• Given  $L = \{x_1, x_2, \dots, x_n\}$ , all  $x_i$  are integers. We need to find  $\max\{L\}$ 

- Recursive formulation: 1.  $\max_{l} 2(L)$ 

  - 2. if |L| = 1 return  $x_1$
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  - 7. else return y
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- $\{5, 6, 10\}$   $\{3, 1, 12, 2\}$

{5, 6, 10, 3, 1, 12, 2}

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- $5 \left( \right) \left( \right) 6 3 \left( \right) \left( \right) 1 \left( \right) 12 \right)$

- $\{5,6\}\ \{10\}\ \{3,1\}\ \{12,2\}$

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{5, 6, 10, 3, 1, 12, 2}

- $5 \left( \right) \left( \right) 6 3 \left( \right) \left( \right) 1 \left( \right) 12 \left( \right)$ 
  - $\{3\}$   $\{1\}$   $\{12\}$

- $\{5, 6, 10\}$   $\{3, 1, 12, 2\}$

• Given  $L = \{x_1, x_2, \dots, x_n\}$ , all  $x_i$  are integers. We need to find  $\max\{L\}$ 

Recursive formulation:

1. 
$$\max_{L} 2(L)$$

2. if |L| = 1 return  $x_1$ 

3. Split L into 2 non-empty sets  $L_1, L_2$ 

4.  $x = \max_{l} 2(L_1)$ 

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6. if (x > y) return x

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 $\{5,6\}\ \{10\}$   $\{3,1\}$   $\{12,2\}$ 

{5, 6, 10, 3, 1, 12, 2}

 $\{5, 6, 10\}$   $\{3, 1, 12, 2\}$ 

*{*5*} {*6*} {*3*} {*1*} {*12*}* 

 $5 \left( \right) \left( \right) 6 \quad 3 \left( \right) \left( \right) 1 \quad \left( \right) 12 \left( \right)$ 

#### Finding MAX of n elements (2) • Given $L = \{x_1, x_2, \dots, x_n\}$ , all $x_i$ are integers. We need to find $\max\{L\}$ Recursive formulation:

1.  $\max_{l} 2(L)$ 

2. if 
$$|L| = 1$$
 return  $x_1$ 

3. Split L into 2 non-empty sets  $L_1, L_2$ 

4.  $x = \max_{l} 2(L_1)$ 

5.  $y = \max_{l} 2(L_2)$ 

6. if (x > y) return x

7. else return *y* 

 $\{5,6\}\ \{10\}$   $\{3,1\}$   $\{12,2\}$ 

 $\{5, 6, 10\}$ 

{5, 6, 10, 3, 1, 12, 2}

{3} {1} {12}

 $5 \left( \right) \left( \begin{array}{c} 6 & 3 \\ \end{array} \right) \left( \begin{array}{c} 1 \\ \end{array} \right) 12 \left( \begin{array}{c} 12 \\ \end{array} \right)$ 

 $\{3, 1, 12, 2\}$ 

#### Finding MAX of n elements (2) • Given $L = \{x_1, x_2, \dots, x_n\}$ , all $x_i$ are integers. We need to find $\max\{L\}$ Recursive formulation: 1. $\max_{l} 2(L)$ {5, 6, 10, 3, 1, 12, 2} 2. if |L| = 1 return $x_1$

3. Split L into 2 non-empty sets  $L_1, L_2$ 4.  $x = \max_{l} 2(L_1)$ 

5.  $y = \max_{l} 2(L_2)$ 

6. if (x > y) return x

7. else return *y* 

 $\{5,6\}\ \{10\}\ \ \{3,1\}\ \ \{12,2\}$ 

 $\{5, 6, 10\}$ 

 $5 \left( \right) \left( \right) 6 3 \left( \right) \left( \right) 1 \left( \right) 12 \left( \right)$ {3} {1} {12}

 $\{3, 1, 12, 2\}$ 

• Given  $L = \{x_1, x_2, \dots, x_n\}$ , all  $x_i$  are integers. We need to find  $\max\{L\}$ 

1.  $\max_{l} 2(L)$ 

4.  $x = \max_{l} 2(L_1)$ 

5. 
$$y = \max(L_2)$$

7. else return *y* 

Complexity analysis:

6. if (x > y) return x



$$\begin{pmatrix} \\ \\ \\ \end{pmatrix}$$
 3



$$\{3,1\}$$

{3} {1} {12}

Complexity analysis: 
$$T(n) = T(n-k) + T(k) + 1, \quad n > 1$$

$$= 0, \quad n = 1$$

$$\{5, 6\} \{10\} \{3, 1\} \{12, 2\}$$

$$\{5, 6\} \{10\} \{3, 1\} \{12, 2\}$$

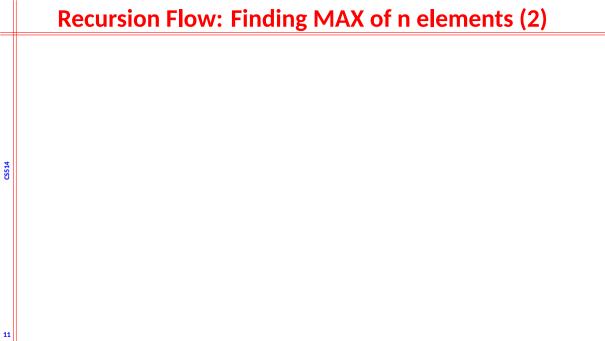
$$\{5,6\} \{10\}$$
  $\{3,1\}$   $\{12,2\}$ 

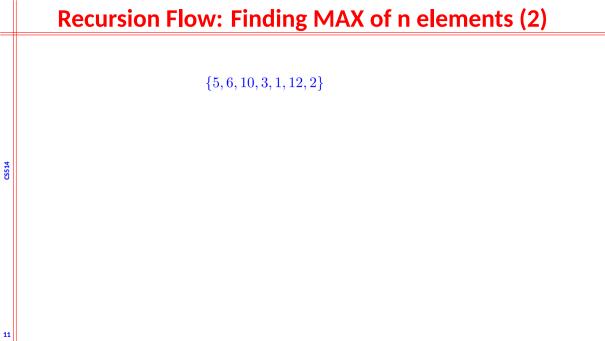


$$\stackrel{.}{\setminus}_{12}$$

- {5, 6, 10, 3, 1, 12, 2}

  - $\{3, 1, 12, 2\}$





{5, 6, 10, 3, 1, 12, 2}

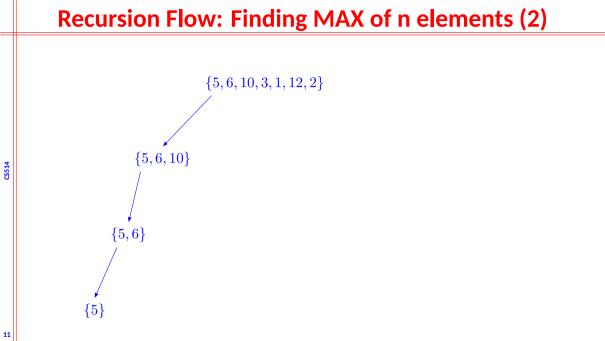
{5, 6, 10}

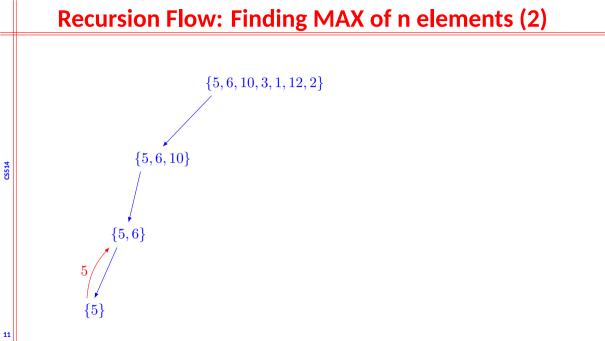


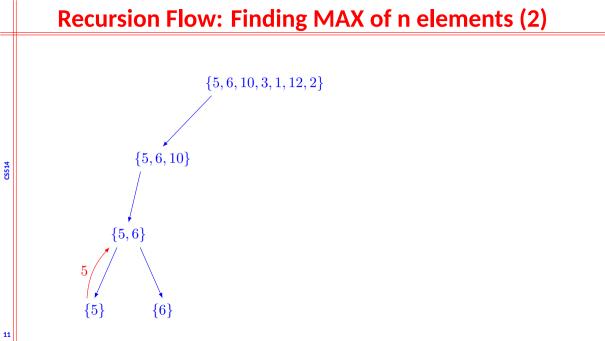
### **Recursion Flow: Finding MAX of n elements (2)** {5, 6, 10, 3, 1, 12, 2} $\{5, 6, 10\}$

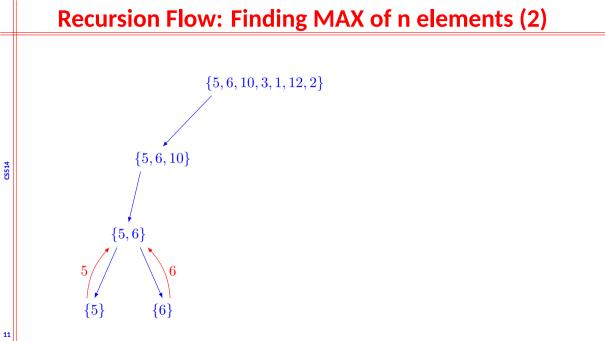
CS514

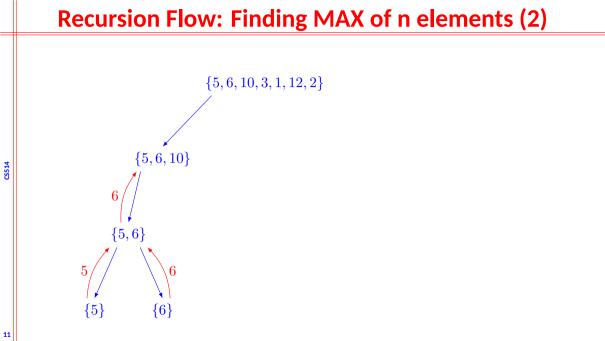
 $\{5, 6\}$ 







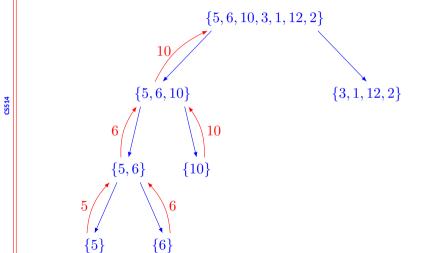




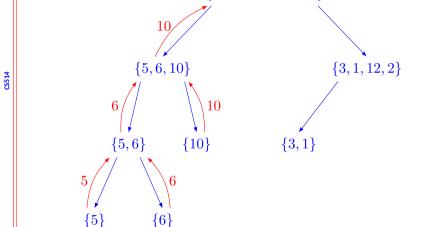
### **Recursion Flow: Finding MAX of n elements (2)** {5, 6, 10, 3, 1, 12, 2} $\{5, 6, 10\}$ CS514 {5,6} {10}

### **Recursion Flow: Finding MAX of n elements (2)** {5, 6, 10, 3, 1, 12, 2} $\{5, 6, 10\}$ CS514 10 $\{5, 6\}$ {10}

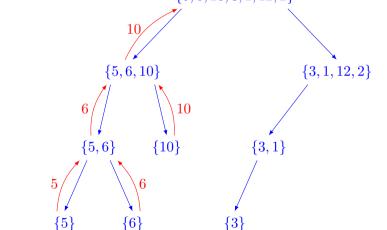
### **Recursion Flow: Finding MAX of n elements (2)** {5, 6, 10, 3, 1, 12, 2} 10 $\{5, 6, 10\}$ CS514 10 $\{5, 6\}$ {10}



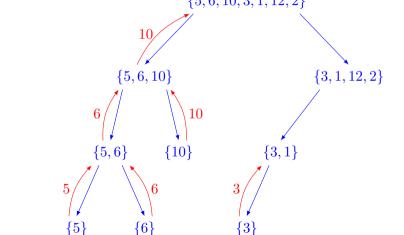
## Recursion Flow: Finding MAX of n elements (2) {5, 6, 10, 3, 1, 12, 2}



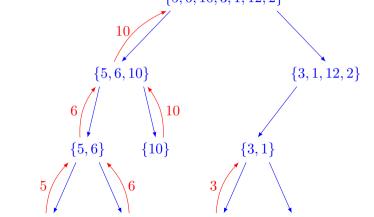
# Recursion Flow: Finding MAX of n elements (2) $\{5,6,10,3,1,12,2\}$



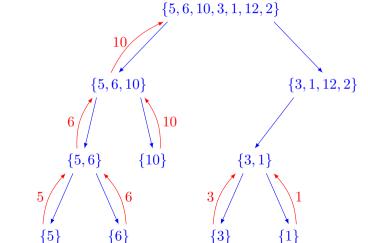
# Recursion Flow: Finding MAX of n elements (2) {5, 6, 10, 3, 1, 12, 2}

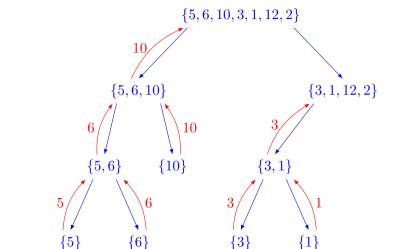


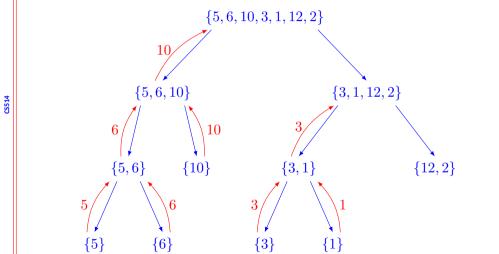
# Recursion Flow: Finding MAX of n elements (2) {5,6,10,3,1,12,2}

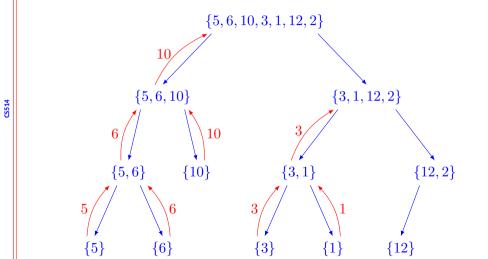


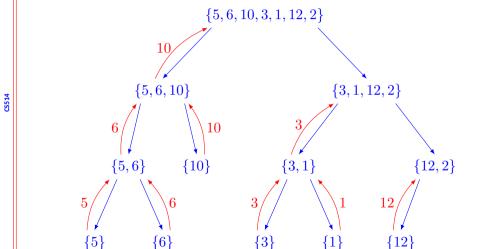
**{6**}

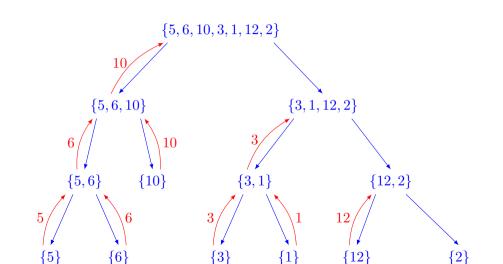




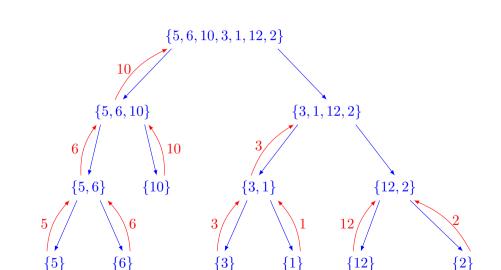




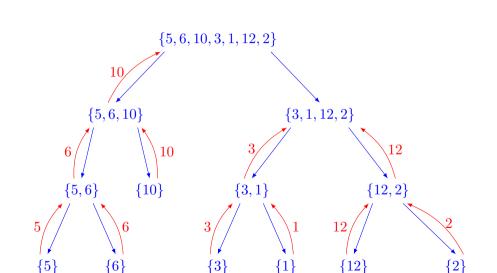




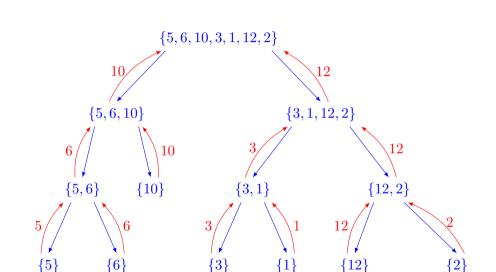
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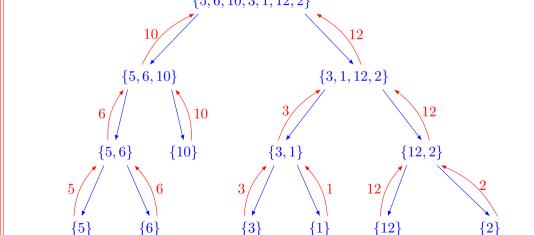


**SS14** 



**SS14** 

#### 

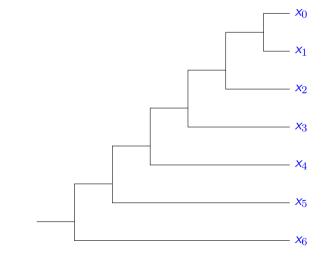


**SS14** 



#### **Comparison Tournament**

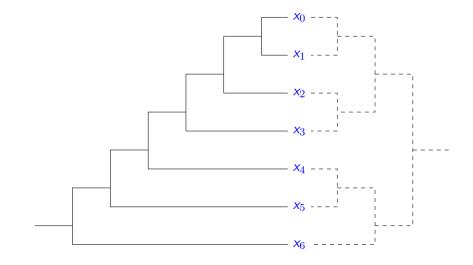
• Finding of maximum can be viewed as a tournament of players taken two at a time



CS514

#### **Comparison Tournament**

• Finding of maximum can be viewed as a tournament of players taken two at a time



```
MAX & MIN (1)
• Given L = \{x_1, x_2, \dots, x_n\}, all x_i are integers. We need to find max\{L\} and min\{L\}

    Sequential comparison

 1. maxmin(L)
 2. if |L|=1 return \langle x_1, x_1 \rangle
 3. L' = L - \{x_1\}
 4. \langle y_1, y_2 \rangle = \mathsf{maxmin}(L')
 5. if x_1 > y_1 then m_1 = x_1 else m_1 = y_1
 6. if x_1 < y_2 then m_2 = x_1 else m_2 = y_2
  7. return \langle m_1, m_2 \rangle
```

```
• Given L = \{x_1, x_2, \dots, x_n\}, all x_i are integers. We need to find \max\{L\} and \min\{L\}
```

- Recursive definition
  - 1. maxmin2(L)
  - 2. if |L|=1 return  $\langle x_1, x_1 \rangle$
  - 3. if |L|=2 if  $x_1 > x_2$  return  $\langle x_1, x_2 \rangle$  else return  $\langle x_2, x_1 \rangle$

  - 4. Split L into 2 non-empty sets  $L_1, L_2$
- 5.  $\langle y_1, y_2 \rangle = \text{maxmin2}(L_1)$

9. return  $\langle m_1, m_2 \rangle$ 

- 6.  $\langle z_1, z_2 \rangle = \text{maxmin2}(L_2)$
- 7. if  $y_1 > z_1$  then  $m_1 = y_1$  else  $m_1 = z_1$
- 8. if  $y_2 < z_2$  then  $m_2 = y_2$  else  $m_2 = z_2$

- Recursive definition Choice of split
  - Recurrence relation:

  - $T(n) = 0, \qquad n = 1$ 

    - $= T(k) + T(n-k) + 2, \quad n=2$

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Thank you!